09/639453 AMA9 2/22/02

US-CL-CURRENT: 435/6; 435/91.1, 435/91.31, 435/91.51, 514/44

APPL-NO: 9/ 257770 DATE FILED: February 25, 1999

PARENT-CASE:

This application claims the benefit of U.S. Provisional Application No. 60/076,161, filed Feb. 26, 1998

IN: Lambowitz; Allen M., Zimmerly; Steven, Guo; Huatao, Mohr; Georg, Beali; Clifford James

AB: Methods, employing a nucleotide integrase, for cleaving single-stranded RNA

substrates, single-stranded DNA substrates, and double-stranded DNA substrates at specific

sites and for inserting a nucleic acid molecule into the cleaved substrate are provided. One

method uses a nucleotide integrase to cleave one strand of a double-stranded DNA substrate. The

method comprises the steps of: providing an isolated nucleotide integrase comprising a group II

intron RNA having two hybridizing sequences for hybridizing with two intron RNA binding

sequences on the top strand of the DNA substrate, and a group II-intron encoded protein which

binds to a first sequence element of the substrate; and reacting the nucleotide integrase with

the double-stranded DNA substrate to permit the nucleotide integrase to cleave the top strand

of the DNA substrate and to insert the group II intron RNA into the cleavage site. The method

of cleaving both strands of a double-stranded DNA substrate comprises the steps of: providing a

nucleotide integrase comprising a group II intron RNA having two hybridizing sequences for

hybridizing with two intron RNA binding sequences on one strand of the substrate, and a group

II-intron encoded protein that interacts with a first sequence element and a second sequence

element in the recognition site of the substrate; and reacting the nucleotide integrase with

the double-stranded DNA substrate such that the nucleotide integrase cleaves both strands of

the DNA substrate and inserts the group II intron RNA into the cleavage site of the one strand.

The method for cleaving a single-stranded nucleic acid substrate comprises the steps of:

providing a nucleotide integrase having two hybridizing sequences for

hybridizing with two intron RNA-binding sequences on the single-stranded substrate, and a group II intron encoded

protein; and reacting the nucleotide integrase with the single stranded nucleic acid substrate

to allow the nucleotide integrase to cleave the substrate and to attach the group II intron RNA

molecule thereto.

L4: Entry 9 of 97

File: USPT

Oct 23, 2001

DOCUMENT-IDENTIFIER: US 6306596 B1

TITLE: Methods for cleaving single-stranded and double-stranded DNA substrates with nucleotide

integrase

ORPL:

"Integration of Group II Intron bII into Foreign RNA by Reversal of the Self-Splicing Reaction in

Vitro", by Morl and Schmelzer, Cell, vol. 60, Feb. 23, 1990, pp. 629-636.

ORPL:

"Efficient integration of an intron RNA into double-stranded DNA by reverse splicing" by Yang, et

al., Nature, vol. 381,-No. 23, May 1996, pp. 332-335.

10. Document ID: US 6270989 B1

L4: Entry 10 of 97

File: USPT

Aug 7, 2001

US-PAT-NO: 6270989 DOCUMENT-IDENTIFIER: US 6270989 B1 TITLE: Protein production and delivery DATE-ISSUED: August 7, 2001

US-CL-CURRENT: 435/69.1; 435/208, 435/346, 435/367, 435/371, 435/372.3, 435/463, 435/69.4,

435/69.51, 435/69.6, 435/69.7 , 530/350, 536/23.4, 536/23.51, 536/24.1

APPL-NO: 8/406030 DATE FILED: March 17, 1995

PARENT-CASE:

RELATED APPLICATIONS This application is a Continuation-In-Part of U.S. patent application Ser. No.

08/243,391, filed May 13, 1994, now U.S. Pat. No. 5,641,670, which is a Continuation-In-Part of U.S.

patent application Ser. No. 07/985,586, filed Dec. 3, 1992, now abandoned and is also a

· Continuation-In-Part of U.S. patent application Ser. No. 07/911,533, filed Jul. 10, 1992, now

abandoned, and is also a Continuation-In-Part of U.S. patent application Ser. No. 07/787,840, filed Nov. 5, 1991, now abandoned, and is also a Continuation-In-Part of U.S.

patent application Ser. No.

07/789,188, filed Nov. 5, 1991, now abandoned all of which are incorporated herein by reference.

This application also claims priority and is related to PCT/US93/11704, filed Dec. 2, 1993, and is

also related to PCT/US92/09627, filed Nov. 5, 1992. The teachings of PCT/US93/11704 and

PCT/US92/09627 are incorporated herein by reference.

IN: Treco; Douglas A., Heartlein; Michael W., Hauge; Brian M., Selden; Richard F

AB: The invention relates to novel human DNA sequences, targeting constructs, and

methods for producing novel genes encoding thrombopoietin, DNase I, and .beta.-interferon by

homologous recombination. The targeting constructs comprise at least: a) a targeting sequence;

b) a regulatory sequence; c) an exon; and d) a splice-donor site. The targeting constructs,

which can undergo homologous recombination with endogenous cellular sequences to generate a

novel gene, are introduced into cells to produce homologously

recombinant cells. The homologously recombinant cells are then maintained under conditions

which will permit transcription of the novel gene and translation of the mRNA produced,

resulting in production

of either thrombopoietin, DNase I, or .beta.-interferon. The invention further relates to a

methods of producing pharmaceutically useful preparations containing thrombopoietin, DNase I,

or .beta.-interferon from homologously recombinant cells and methods of gene therapy comprising

administering homologously recombinant cells producing thrombopoietin,

DNase I. or

beta.-interferon to a patient for therapeutic purposes.

L4: Entry 10 of 97

File: USPT

Aug 7, 2001

DOCUMENT-IDENTIFIER: US 6270989 B1 TITLE: Protein production and delivery

#### BSPR.

The present invention further relates to DNA constructs useful in the method of activation of the

TPO, .beta.-interferon, or DNase I genes. The DNA constructs comprise: (a) targeting sequences: (b)

a regulatory sequence; (c) an exon; and (d) an unpaired splice-donor site. The targeting sequence in

the DNA construct is derived from chromosomal DNA lying within and/or upstream of the desired gene

and directs the integration of elements (a)-(d) into the chromosomal DNA in a cell such that the

elements (b)-(d) are operatively linked to sequences of the desired endogenous gene. In another

embodiment, the DNA constructs comprise: (a) a targeting sequence, (b) a regulatory sequence, (c) an

exon, (d) a splice-donor site, (e) an intron, and (f) a splice-acceptor site, wherein the targeting

sequence in the DNA construct is derived from chromosomal DNA lying within and/or upstream of the

desired gene and directs the integration of elements (a)-(f) such that the elements of (b)-(f) are

operatively linked to the desired endogenous gene. The targeting sequence is homologous to the

preselected site within or upstream of the TPO, .beta.-interferon, or DNase I genes in the cellular

chromosomal DNA with which homologous recombination is to occur. In the construct, the exon is

generally 3' of the regulatory sequence and the splice-donor site is 3' of the exon. Constructs of

this type are disclosed in pending U.S. patent applications U.S. Ser. No. 07/985,586 and U.S. Ser.

No. 08/243,391, all of which are incorporated herein by reference.

### DEPR-

The invention is based upon the discovery that the regulation or activity of endogenous genes of

interest in a cell can be altered by creating a novel gene, in which the transcription product of

the gene combines exogenous and endogenous exons and is under the control of an exogenous promoter.

The method is practiced by inserting into a cell's genome, at a preselected site, through homologous

recombination, DNA constructs comprising: (a) one or more targeting sequences; (b) a regulatory

sequence; (c) an exon and (d) an unpaired splice-donor site, wherein the targeting sequence or

sequences are derived from chromosomal DNA within and/or upstream of a desired endogenous gene and

directs the integration of elements (a)-(d) such that the elements (b)-(d) are operatively linked to

the endogenous gene. In another embodiment, the DNA constructs comprise: (a) one or more targeting

sequences, (b) a regulatory sequence, (c) an exon, (d) a splice-donor site, (e) an intron, and (f) a

splice-acceptor site, wherein the targeting sequence or sequences are derived from chromosomal DNA

within and/or upstream of a desired endogenous gene and directs the integration of elements (a)-(f)

such that the elements of (b)-(f) are operatively linked to the first exon of the endogenous gene.

# CLPR:

173. The DNA construct of claim 172, wherein (a)-(d) are oriented such that, upon integration of the

construct into chromosomal DNA of the cell at the target site, (b)-(d) are

integrated upstream of

exon 1 of the endogenous GM-CSF gene, and a transcript produced under the control of the exogenous

regulatory sequence of (b) contains a splice-donor site

#### CLPR:

201. The DNA construct of claim 200, wherein (a)-(d) are oriented such that, upon integration of the

construct into chromosomal DNA of the cell at the target site, (b)-(d) are integrated upstream of

exon 1 of the endogenous G-CSF gene and the transcript contains a splice-donor site that

#### CLPL:

wherein, following homologous recombination of the targeting sequence with the target site, the

regulatory sequence of (b), the non-coding exon of (c), and the unpaired splice-donor site of (d)

are integrated upstream of the first exon of the thrombopoietin gene and upon expression under the

control of the regulatory sequence, a transcript is produced in which sequence corresponding to the

construct-derived splice-donor site is spliced to sequence corresponding to the splice-acceptor site

of the second endogenous exon of the thrombopoietin gene.

wherein, upon integration of the construct into chromosomal DNA by homologous recombination at the

target site, the regulatory sequence of (b) controls expression of a transcript comprising sequence

corresponding to the exon of (c), the splice-donor site of (d), the intron of (e), the

splice-acceptor site of (f), and part or all of the .beta.-interferon coding region.

### 11. Document ID: US 6248575 B1

L4: Entry 11 of 97

File: USPT

Jun 19, 2001

### US-PAT-NO: 6248575

DOCUMENT-IDENTIFIER: US 6248575 B1

TITLE: Nucleic acids encoding polypeptides having L-amino acid oxidase activity

DATE-ISSUED: June 19, 2001

US-CL-CURRENT: 435/189; 435/191, 435/252.3, 435/320.1, 536/23.2

APPL-NO: 9/314242

DATE FILED: May 18, 1999

## PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATION This application is a continuation-in-part of pending U.S.

application Ser. No. 09/080,428 filed on May 18, 1998, now abandoned which application is fully

incorporated herein by reference.

IN: Golightly; Elizabeth J.

AB: The present invention relates to isolated nucleic acid sequences encoding

polypeptides having L-amino acid oxidase activity. The invention also relates to nucleic acid

constructs, vectors, and host cells comprising the nucleic acid sequences as well as

recombinant methods for producing the polypeptides.

# 20. Document ID: US 6225121 B1

L4: Entry 20 of 97

File: USPT

May 1, 2001

US-PAT-NO: 6225121

DOCUMENT-IDEN TIFIER: US 6225121 B1 TITLE: Eukaryotic transposable element DATE-ISSUED: May 1, 2001

US-CL-CURRENT: 435/440; 435/455, 435/456, 435/462, 435/465

APPL-NO: 9/ 067755 DATE FILED: April 27, 1998

#### PARENT-CASE:

RELATED APPLICATIONS This application is a continuation-in-part of U.S. Ser. No. 08/530,566, filed

Sep. 20, 1995, now U.S. Pat. No. 5,840,865 which is a continuation-in-part of U.S. Ser. No.

08/239,765, filed May 9, 1994, which is a divisional of U.S. Ser. No. 07/946,237, filed Sep. 14,

 $1992 \ (now\ U.S.\ Pat.\ No.\ 5,348,874),$  the entire teachings of which are incorporated herein by

reference.

- IN: Savakis; Charalambos, Franz; Gerald H., Loukeris; Athanasios, Klinakis; Apostolos G.
- AB: Disclosed are isolated transposable elements, or isolated DNA sequences which encode

a transposase protein (or a portion of a transposase protein). The isolated transposable

elements or the isolated DNA sequences being characterized by the ability to hybridize to the

DNA sequence of Minos-1. The invention also relates to a purified transposase protein, or

peptide fragments thereof, encoded by such DNA sequences. Such transposable are useful in

methods for the stable introduction of a DNA sequence of interest into a cell. The invention

further relates to transgenic animals, gene tagging and insertional mutagenesis produced by

such methods. The sequence information disclosed herein is useful in the design of

oligonucleotide primers which are useful for the isolation of related members of the Tc-1

family of transposable elements.

L4: Entry 20 of 97

File: USPT

May 1, 2001

DOCUMENT-IDENTIFIER: US 6225121 B1 TITLE: Eukaryotic transposable element

DEPR

The methods and compositions of the present invention can also be used to detect and trap an exon of

a gene in a cell. The term "exon", as used herein, is any segment or region of a gene which is

represented in the mature mRNA transcription product. Most eukaryotic genes and some prokaryotic

genes include additional nucleic sequences referred to as introns that are within the coding region

of a gene but do not appear in the mature mRNA. Introns are dispersed among the exons in the genetic

material of cells. To identify an exon of interest in a gene, an isolated Minos transposable element

is modified to include an indicator gene (e.g., reporter or selectable marker gene) lacking a

translation initiation codon but linked to a splice acceptor sequence and flanked by the inverted

terminal repeats of the isolated transposable element. The modified transposable element is

incorporated into an appropriate vector and introduced into a population of cells in the presence of

a transposase protein or a nucleic acid sequence encoding a transposase protein. In a particular

embodiment, the modified transposable element and/or the transposase is incorporated into a viral

vector, which is introduced into a population of cells. Random integration of the transposon into an

intron of a gene in the correct orientation can result in transcription of hybrid mRNA encoding, for

example, an indicator gene, such as a reporter or marker gene. mRNA transcribed from a gene

disrupted by integration of the modified transposon in an intron results in a change in mRNA

splicing patterns compared to the gene lacking the integrated transposon in such a way that a hybrid

mRNA is produced carrying, for example, the reporter or marker gene as an exon. This change in

splicing pattern signifies the presence of an exon. Genes targeted in this way can be isolated by

virtue of their being linked to the Minos transposon. Methods for transfection, reporter gene

expression, selection conditions, mRNA isolation, reverse transcription protocols, nucleic acid

sequencing and hybridization techniques are all well known art-recognized technologies. Exemplary

discussions and detailed protocols can be found in Ausubel et al., supra and Sambrook et al., supra.

# 21. Document ID: US 6207433 B1

L4: Entry 21 of 97

File: USPT

Mar 27, 2001

US-PAT-NO: 6207433

DOCUMENT-IDENTIFIER: US 6207433 B1

TITLE: Polypeptides having aminolevulinic acid activity and nucleic acids encoding same

DATE-ISSUED: March 27, 2001

US-CL-CURRENT: 435/193; 435/183, 530/350, 530/371, 536/23.2

APPL-NO: 9/ 477472

DATE FILED: January 4, 2000

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATIONS This application is a divisional of U.S. application Ser.

No. 09/193,107 filed Nov. 16, 1998, now U.S. Pat. No. 6,033,892 which claims priority from U.S.

provisional application Ser. No. 60/066,107 filed Nov. 17, 1997, which applications are fully

incorporated herein by reference.

IN: Gambetta; Greg

AB: The present invention relates to isolated polypeptides having 5-aminolevulinic acid

synthase activity and isolated nucleic acid sequences encoding the polypeptides. The invention

also relates to nucleic acid constructs, vectors, and host cells comprising

allowed to occur between the

recombination cassette and the BBPAC to form a co-integrate. This cell is

conditions in which the conditional replication shuttle vector cannot replicate and in which a cell

that contains the first and second marker is selected for. In this way, a cell containing the

co-integrate between the recombination cassette and the BBPAC is selected for. This cell is then

grown under conditions in which the conditional replication shuttle vector cannot replicate and in

which a cell that contains the second marker gene is selected for and wherein a second homologous

recombination event is allowed to occur between the conditional replication shuttle vector and the

BBPAC. The PEU can thus integrate into the BBPAC placing the exon of the trappable eukaryotic gene

operatively downstream of the PEU.

#### BSPR.

Another variation of the present invention includes a method of placing a eukaryotic promoter

exon/intron unit (PEU) into a BBPAC by introducing a conditional replication shuttle vector into a

host cell containing the BBPAC under conditions in which the conditional replication shuttle vector

can replicate and transform the host cell. The BBPAC contains a trappable eukaryotic gene, BBPAC

vector DNA, and a second marker gene, whereas the conditional replication shuttle vector comprises a

first marker gene, the PEU, a mini-transposon containing a pair of inverted transposon ends, a

nucleic acid encoding transposase, and an inducible promoter. The expression of transposase is

maintained under the control of the inducible promoter. The PEU is positioned in between the pair of

inverted transposon ends and the nucleic acid encoding transposase, the inducible promoter, and the

first marker gene are positioned outside of the pair of inverted transposon ends. The PEU comprises

a eukaryotic promoter, at least one 5' vector-derived exon, and at least one intron or fragment

thereof. Preferably the PEU does not contain an exon encoding a 3' polyadenylation sequence, A 5'

vector-derived exon is adjacent to the intron or fragment thereof and

operatively downstream from the eukaryotic promoter. When the trappable eukaryotic gene comprises an exon with a 3' splice

acceptor, the PEU can integrate into the BBPAC and place the exon of the trappable eukaryotic gene

operatively downstream of the PEU. The transformed host cell is grown under conditions in which the

conditional replication shuttle vector can replicate, and in which a cell that contains the first

and second marker gene are selected for. The inducible promoter of this cell is induced and

transposase is expressed. The PEU can then integrate into the BBPAC and place the exon of the

trappable eukaryotic gene operatively downstream of the PEU. A cell that contains the first and

second marker gene is then selected for. In a related embodiment of this type the PEU further

comprises a third marker gene and/or the first marker gene can be counter-selected against. In a

preferred embodiment of this type, the first marker gene is a tetracycline resistance gene that can

be counter-selected against by growing the cell in the presence of fusaric acid.

### CLPW:

(iii) the PEU comprises a third marker gene, a eukaryotic promoter, at least one 5' vector-derived

exon, and an intron or fragment thereof; wherein a 5' vector-derived exon is adjacent to the intron

or fragment thereof, and is operatively downstream from the eukaryotic promoter; and wherein when

the trappable eukaryotic gene comprises an exon with a 3' splice acceptor the PEU can integrate into

the BBPAC placing the exon of the trappable eukaryotic gene operatively downstream of the PEU:

#### CLPW:

(iii) the PEU comprises a third marker gene, a eukaryotic promoter, at least one 5' vector-derived

exon, and an intron or fragment thereof; wherein a 5' vector-derived exon is adjacent to an intron

or fragment thereof and is operatively downstream from the eukaryotic promoter: wherein when the

trappable eukaryotic gene comprises an exon with a 3' splice acceptor, the PEU can integrate into

the BBPAC placing the exon of the trappable eukaryotic gene operatively downstream of the PEU;

#### 27. Document ID: US 6150169 A

L4: Entry 27 of 97

File: USPT

Nov 21, 2000

US-PAT-NO: 6150169

DOCUMENT-IDENTIFIER: US 6150169 A

TITLE: Expression of the heterologous genes according to a targeted expression profile

DATE-ISSUED: November 21, 2000

US-CL-CURRENT: 435/455; 435/352, 435/463

APPL-NO: 8/ 537765 DATE FILED: January 25, 1996

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY

APPL-NO

APPL-DATE

GB

9308271

April 21, 1993

GB

June 28, 1993

GB

9401011

9313323

January 20, 1994

PCT-DATA:

APPL-NO

DATE-FILED

PUB-NO

PUB-DATE

371-DATE

102(E)-DATE

PCT/GB94/00849

April 21, 1994

WO94/24307

Oct 27, 1994

Jan 25, 1996

Jan 25, 1996

IN: Smith; Austin Gerard, Mountford; Peter Scott, Lathe; Richard Frank

AB: This invention relates to DNA constructs for inserting heterologous gene sequences

into a host genome so as to obtain expression of the heterologous gene, to methods of inserting

heterologous gene sequences into a host genome, and to organisms

carrying modified host

genomes. Specifically, the DNA constructs of this invention contain an expression unit of an

internal ribosome binding site (IRES) coupled to a heterologous gene sequence. This expression

unit is flanked at the 5' and 3' ends by DNA sequences that enable homologous recombination or

integration of the construct with the DNA of a targeted host to obtain expression of the

heterologous gene in the host.

L4: Entry 27 of 97

File: USPT

Nov 21, 2000

#### DOCUMENT-IDENTIFIER: US 6150169 A

TITLE: Expression of the heterologous genes according to a targeted expression profile

#### BSPR:

Analyses of eukaryotic genes in many laboratories have shown that in general the coding sequences of

DNA, the regions that will ultimately be translated into amino acid sequences, are not continuous

but are interrupted by 'silent' DNA. Even for genes with no protein product, such as tRNA genes of

yeast in Drosophila, the primary RNA transcript contains internal regions that are excised during

maturation, the final tRNA or mRNA being a spliced product. The regions which will be lost from the

mature messenger are termed "introns" (for intragenic regions) and alternate with regions which will

be expressed, termed "exons". Transgenes may be functionally inserted into exons, or in a further

aspect of the invention, incorporate a splice acceptor sequence 5' to the IRES element to enable

functional integration into an intron. Functional transgene integration is therefore not restricted

by the intron/exon arrangement or reading frame of the endogenous gene. This is another aspect in

which the design and construction of transgenic constructs of the invention is simpler than that of

hitherto known constructs.

### DEPR

The Oct4-neo construct (Oct4-tgtvec) designed for targeted integration into the Oct4 gene is shown

in FIG. 6. This construct incorporates 1.6 kb of 5' Oct4 gene sequence, 4.3 kb of 3' Oct4 gene

sequence a lacZ-neomycin fusion gene (.beta.geo, encoding a bifunctional protein, Freidrich and

Soriano, 1991) into the first intron of the Oct4 mRNA. Splicing from the splice donor sequence of the first exon-intron boundary to the integrated IRES-.beta.geo sequence is

facilitated by the inclusion a murine engrailed-2 splice acceptor sequence (Skarnes et al.,

1992) immediately 5' to the IRES-.beta.geo sequence. Translation of the .beta.geo cistron of the

Oct4-.beta.geo fusion transcript is facilitated by the inclusion of the EMCV-IRES immediately 5'

transcript is facilitated by the inclusion of the EMCV-IRES immediately 5 to the .beta.geo coding sequence.

28. Document ID: US 6150160 A

L4: Entry 28 of 97

File: USPT

US-PAT-NO: 6150160

DOCUMENT-IDENTIFIER: US 6150160 A

TITLE: Compositions and methods of use of mammalian retrotransposons DATE-ISSUED: November 21, 2000

US-CL-CURRENT: 435/320.1; 435/455, 536/23.1, 536/23.5, 536/24.1

APPL-NO: 8/847844 DATE FILED: April 28, 1997

#### PARENT-CASE:

CROSS REFERENCE TO RELATED APPLICATIONS This application is a continuation-in-part application of

copending application No. 08/749,805, filed on Nov. 15, 1996, now abandoned which claims priority

under 35 U.S.C. .sctn.119(e) to U.S. Provisional Application No. 60/006,831, filed on Nov. 16, 1995.

IN: Kazazian, Jr.; Haig H., Boeke; Jef D., Moran; John V., Dombroski; Beth A.

AB: The invention relates to an isolated DNAc molecule comprising a promoter P and an L1

cassette sequence comprising a core L1 retrotransposon element and methods of use thereof.

L4: Entry 28 of 97

File: USPT

Nov 21, 2000

### DOCUMENT-IDENTIFIER: US 6150160 A

TITLE: Compositions and methods of use of mammalian retrotransposons

#### DRPR:

FIG. 15, comprising FIGS. 15A and 15B is a diagram and a table showing that the L1 En domain is

required for transposition in HeLa cells. In FIG. 15A, a diagram of the L1.2mneol retrotransposition

assay is shown. A neo marker gene with a "backward" intron (mneol) is inserted upstream of L1 3' UTR

such that neo and L1 are convergently transcribed. L1 transcription from the CMV promoter leads to

the splicing of the intron and reconstruction of the neo coding region. Reverse transcription and

integration leads to expression of neo from its SV40 promoter, pCMV, cytomegalovirus early promoter;

S.D., splicing donor; S.A., splicing acceptor; wavy line, RNA; V, intron sequence. In FIG. 15B, L1

retrotransposition frequencies are tabulated. D703Y is the RT active site mutant; the other mutants

are EN domain mutants.

### DEPR:

To address the limitations of the RT assays for assessing retrotransposition potential, a recently

developed retrotransposition assay was employed which analyzes the functions of both coding regions

of L1 in HeLa cells (FIG. 18). In this assay, as described previously herein, an antisense neomycin

resistance gene (neo) under the control of an SV40 promoter is interrupted by a sense .gamma.-globin

intron, and is cloned into the 3' UTR of the L1 element (FIG. 18A). G418 resistant (G418.sup.R)

cells result only when: 1) the antisense neo is transcribed from the promoter driving L1

transcription; 2) the .gamma.-globin intron is spliced out; 3) the transcript is reverse transcribed

and integrated into the genome (i.e. the L1 retrotransposes); and, 4) the neo gene is expressed from

its adjacent promoter. G418.sup.R cells result from authentic retrotransposition of the neo sequence (FIG. 18A).

Nov 21, 2000

#### 29. Document ID: US 6150141 A

L4: Entry 29 of 97

File: USPT

Nov 21, 2000

US-PAT-NO: 6150141

DOCUMENT-IDENTIFIER: US 6150141 A

TITLE: Intron-mediated recombinant techniques and reagents

DATE-ISSUED: November 21, 2000

US-CL-CURRENT: 435/91.31; 435/91.1, 435/91.3, 435/91.4, 435/91.5, 536/23.1

APPL-NO: 8/814412 DATE FILED: March 11, 1997

PARENT-CASE:

PRIOR APPLICATIONS The present application is a Continuation-in-part of U.S. Ser. No. 08/488,015,

filed Jun. 7, 1995 now U.S. Pat. No. 5,780,272, which is a Continuation-in-part of U.S. Ser. No.

08/119,572, filed Sep. 10, 1993, now U.S. Pat. No. 5,498,531. Each of these applications is

incorporated herein by reference.

IN: Jarrell: Kevin A.

AB: The present invention makes available methods and reagents for novel manipulation of

nucleic acids. As described herein, the present invention makes use of the ability of intronic

sequences, such as derived from group I, group II, or nuclear pre-mRNA introns, to mediate

specific cleavage and ligation of discontinuous nucleic acid molecules. For example, novel

genes and gene products can be generated by admixing nucleic acid constructs which comprise

exon nucleic acid sequences flanked by intron sequences that can direct trans-splicing of the

exon sequences to each other. The flanking intronic sequences can, by intermolecular

complementation, form a reactive complex which promotes the transesterification reactions

necessary to cause the ligation of discontinuous nucleic acid sequences to one another, and

thereby generate a recombinant gene comprising the ligated exons.

L4: Entry 29 of 97

File: USPT

Nov 21, 2000

DOCUMENT-IDENTIFIER: US 6150141 A

TITLE: Intron-mediated recombinant techniques and reagents

### DRPR:

FIG. 33 presents a schematic representation of a trans-splicing method for integration and

expression of novel genes in a genome according to the present invention.

### DEPR:

In addition to the ability of autocatalytic RNAs such as group I and group II introns to excise

themselves from RNA and ligate the remaining exon fragments, ample evidence has accumulated

demonstrating that the autocatalytic RNAs can also catalyze their integration into exogenous RNAs.

For example, both group I and group II introns can integrate into foreign

RNAs by reversal of the

self-splicing reactions. The mechanism of the group II intron reverse-splicing reaction is shown in

FIG. 2. In the first step of the reverse reaction, the attack of the 3'-OH group of the intron 3'

terminus at the junction site of the ligated exons yields a splicing intermediate, the intron-3'

exon lariat, and the free 5' exon. In the second step, the 5' exon, which is still bound to the lariat via the EBS 1/EBS 1 base pairing, can attack the 2'-5' phosphodiester

bond of the branch.

This transesterification step leads to reconstitution of the original

This transesterification step leads to reconstitution of the original precursor. The analogous

reaction of the intron with a foreign RNA harboring an IBS 1 motif results in site-specific

integration downstream of the IBS 1 sequence.

#### DEPR:

While FIG. 10 depicts both a 5' exon and 3' exon, the reverse splicing reaction can be carried out

without any 3' exon, the IBS sequence being at the extreme 3' end of the transcript to be activated.

Alternatively, to facilitate addition of 5' flanking sequences, an exon can be constructed so as to

further include a leader sequence at its 5' end. As shown in FIG. 10, the leader (e.g. the 5' exon)

contains an IBS which defines the splice junction between the leader and "mature" exon. The leader

sequence can be relatively short, such as on the order of 2-3 amino acid residues (e.g. the length

of the IBS). Through a reverse self-splicing reaction using a discontinuous 2'-5' branched intron.

the intronic sequences can be integrated at the splice junction by reversal of the two

transterfication steps in forward splicing. The resulting products includes the mature exon having a

5' flanking intron fragment comprising domains 5 and 4.

#### DEPR:

FIG. 13B shows that all three transcripts spliced to produce an excised exon circle [E3,E5(C)] and a

Y-branched intron [IVS(Y)]. Although both mutated transcripts spliced more slowly than did the

control, the reactions readily produced useful quantities of Y-branched ribozymes with altered  $EBS1\,$ 

and EBS2 sequences. For the purpose of exon shuffling, efficiency is unimportant; all that is

required is that the inverse-splicing reactions produce enough Y-branched product for the subsequent

integration reactions.

# DEPR:

As an alternative to the DNA-RNA ligation methods depicted in FIG. 21, RNA molecules may be linked

to DNA molecules through intron-mediated integration reactions in which the DNA molecule is cleaved

and ligated to the RNA directly. Certain group II introns have been shown to integrate into DNA targets. For example, at least the all group II intron can fully integrate into

double-stranded DNA
(Yang et al. (1996) Nature 381:332-335; see FIG. 22A); at least the al2

group II intron can perform
a first integration step, apparently equivalent to a reversal of the second

step of splicing, so that a DNA strand is cleaved and the intron becomes linked to one of the

resultant DNA pieces but not the other (Zimmerly et al. (1995) Cell 83:529-538; see FIG. 22B).

Several other have been shown

to perform such partial integrations into single-stranded DNA (Herschlag et al. (1990) Nature

344:405-409; Robertson et al. Nature 344:467-468; Morl et al (1992) Cell 70:803-810). Also, any

intron capable of full insertion into a DNA target will perform only the first step of that  $% \left\{ 1,2,...,n\right\}$ 

insertion (analogous to a reversal of the second step of splicing) if the intron is presented to the

target in linear, rather than lariat, form.



According to the method shown in FIG. 24A, the DNA-RNA hybrid products of the integration reactions

are combined with one another in the presence of the all protein and DNA ligase, and the integration

reaction is allowed to reverse itself Because the ribozyme elements are selected to be compatible

with one another (i.e. so that the 1-3 element of ribozyme 1 forms a splicing-competent intron with

the 5-6 element of ribozyme 2 and vice-versa), trans-interactions will occur between the 1-3 element

of ribozyme 1 and the 5,6 element of ribozyme 2 (and vice-versa) so that at least some of the time,

the reversal of integration will produce the depicted trans-product. DNA ligase is added to seal the

nicks that would otherwise be present in the complementary DNA strand (i.e. in the strand into which

the ribozymes did not integrate).

#### DEPR:

The ligation reaction depicted in FIG. 24A is referred to as a "trans-reversal of integration"

rather than a "tans-splicing" reaction because it is performed in the presence of the all protein,

and utilizes substrates in which the IBS1 (and/or IBS2) sites are double-stranded in the exons. It

is clear that the all intron can recognize and interact with double-stranded sites in the presence

of its protein, but it may not be able to do so on its own. The all intron does recognize

single-stranded sites (e.g. in RNA) on its own. In fact, the presence of the all protein alters the

intron's natural preference for single-stranded RNA targets and allows it to

select double-stranded
DNA targets (Zimmerly et al. (1995) Cell 83:529-538). Thus, there are at

least qualitative differences between the reactions catalyzed by the all intron with

double-stranded as compared with single-stranded targets, and the two types of reactions are distinguished herein for purposes of

clarity. This distinction is not intended to imply a mechanistic difference between the two kinds of

reactions, but rather is employed to achieve linguistic precision.

### DEPR

One preferred embodiment of the method depicted in FIG. 33 occurs entirely in vivo. Specifically, an

all intron carrying nested intron elements as discussed is first integrated into the genome of a

host cell in which expression of the novel gene is to be analyzed. The cell is also designed to

express the all protein. The exon shuffling product is then also introduced into the cell, either

because the shuffling itself occurs in the cell, or because a construct encoding the shuffled

product is introduced into and expressed within the cell. Under these circumstances, trans-splicing

and integration proceed within the cell, and the cell is analyzed to detect any effects of

expressing the novel gene.

### DEPR

Accordingly, one aspect of the invention pertains to a preparation of a reverse-splicing intron

which comprises two or more fragments of autocatalytic introns and catalyzes integration of at least

a portion of the reverse-splicing construct into a substrate ribonucleic acid by a reverse-splicing

reaction. For example, the autocatalytic intron fragments can be derived from one or more group II

introns, and preferably are derived with exon binding site which have been altered by recombinant

mutagenesis. In another illustrative embodiment, the autocatalytic intron fragments are derived from

group I introns. Again, the specificity of the intron is preferably altered by recombinant

mutagenesis of the internal guide sequence of group I intron fragments.

#### DEPR:

In one particular embodiment, as is apparent from the description throughout the present

application, where the inventive reverse-splicing intron is derived from a group II intron, it may

comprise a first segment having a 5' portion of a group II intron, which 5' portion includes an exon

binding site; and a second segment comprising a 3' portion of a group II intron, which 3' portion

includes a V motif, a branch site acceptor forming a phosphodiester bond with the 5' end of the

first segment, and a nucleophilic group at the 3' end of the second segment for transesterifiing a

phosphodiester bond of a ribonucleic acid. By this arrangement, the first and second segments

together form an autocatalytic Y-branched intron which catalyzes integration of at least the first

segment of the reverse-splicing intron into a substrate ribonucleic acid by a reverse-splicing

reaction. In an exemplary embodiment, the 5' portion of the group II intron includes intron domains

5 and 6, and the 3' portion of the group II intron includes intron domains 1-3. It will be

understood that the reverse-splicing construct can be a Y-branched lariat form of the group II

intron, e.g., the first and second segments are contiguous via a covalent bond other than the

phosphodiester bond formed with said branch site acceptor, or can be in the form of a Y-branched

discontinuous intron, e.g., the first and second segments are covalently attached only at the branch

site acceptor. Alternatively, the reverse-splicing construct can be linear.

#### DEPR

In addition to the RNA manipulations described herein, the inventive engineered ribozymes can be

utilized to cleave and ligate DNA molecules. Many group II introns recognize single-stranded DNA, as

an alternative to RNA, as an integration target (see, for example Herschlag (1990) Nature

344:405-409; Robertson et al (1990) Nature 344:467-468; Morl et al (1992) Cell 70:803-810; Zimmerly

et al (1995) Cell 83:529-538); some also recognize double-stranded DNA (see, for example Yang et al

(1996) Nature 381:332-335; Ziimmerly et al (1995) Cell 83:529-538). Accordingly, engineered

ribozymes of the present invention may be integrated into DNA targets and linked to DNA "exons" than

can then be linked to one another by splicing reactions, as described herein for RNA exons. Such

inventive DNA manipulations as described in more detail above, in the section entitled "DNA

Recombination".

### DEPC:

Integration and Expression of Novel Genes in the Genome Mediated by Trans-splicing

### DEPV-

A, if present, represents a phosphodiester bond between a 3' end of (IVS1-3) and a 5' end of

(IVS5,6), wherein (IVS1-3) and (IVS5,6) together form an autocatalytic Y-branched intron which

catalyzes integration of at least the (IVS1-3) fragment, if discontinuous with (IVS5,6), into a

substrate ribonucleic acid by a reverse-splicing reaction.

### CLPR

16. The method of claim 14 wherein the first splicing ribozyme constitutes a lariat group II intron

whose EBS1 site has been engineered to recognize the first target site, which first target site is

distinct from the target site into which the intron would naturally integrate without having been so

engineered.

# ORPL:

Knoop et al., "Trans splicing integrates an exon of 22 nucleotides into the

nad5 mRNA in higher

plant mitochondria" EMBO J., vol. 10(11), pp. 3483-3493, 1991.

ORPL

Yang et al., "Efficient integration of an intron RNA into double-stranded DNA by reverse splicing",

Nature, vol. 381, May 23, 1996.

30. Document ID: US 6146888 A

L4: Entry 30 of 97

File: USPT

Nov 14, 2000

US-PAT-NO: 6146888

DOCUMENT-IDENTIFIER: US 6146888 A

TITLE: Method of enriching for mammalian stem cells

DATE-ISSUED: November 14, 2000

US-CL-CURRENT: 435/325; 435/350, 435/351, 435/352, 435/353, 435/354, 435/355, 435/363, 435/366, 435/368, 435/372

APPL-NO: 8/535141 DATE FILED: December 29, 1995

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATIONS This application is a 371 of PCT/GB94/00848 filed Apr. 21,

FOREIGN-APPL-PRIORITY-DATA: COUNTRY

APPL-NO

APPL-DATE

GB

9308271

April 21, 1993

PCT-DATA: APPL-NO

DATE-FILED

PUB-NO PUB-DATE

371-DATE

102(E)-DATE

PCT/GB94/00848

April 21, 1994

WO94/24274

Oct 27, 1994

Dec 29, 1995

Dec 29, 1995

IN: Smith; Austin Gerard, Mountford; Peter Scott

AB: Mammalian stem cells are obtained and maintained in vitro whose genome has at least

one nucleic acid construct encoding an antibiotic resistance gene operatively linked to a

promoter specific for mammalian stem cells. The preferential expression of the antibiotic

resistance gene in the stem cells results in the preferential survival of the stem cells in the

presence of antibiotic.

L4: Entry 30 of 97

File: USPT

DOCUMENT-IDENTIFIER: US 6146888 A

TITLE: Method of enriching for mammalian stem cells

DEPR:

The Oct4-neo construct (Oct4-tgtvec) is designed for targetted integration into the Oct4 gene (FIG.

3). The Oct4 targetting construct contains 1.7 kb of 5'Oct4 gene sequence and 4.2 kb of 3'Oct4 gene

sequence. Following homologous recombination this construct incorporates a lacZ-neomycin fusion gene

(.beta.geo, encoding a bifunctional protein, Freidrich and Soriano, 1991) into the first intron of

the Oct4 gene. Splicing from the splice donor sequence of the first exon-intron boundary to the

integrated IRES-beta geo sequence is facilitated by the inclusion of a murine engrailed-2 splice

acceptor sequence (Skarnes et al., 1992) immediately 5' to the IRES-beta geo sequence. Translation

of the .beta.geo cistron of the Oct4-.beta.geo fusion transcript is facilitated by the inclusion of

the EMCV-IRES immediately 5' to the .beta.geo coding sequence.

31. Document ID: US 6146869 A

L4: Entry 31 of 97

File: USPT

Nov 14, 2000

US-PAT-NO: 6146869

DOCUMENT-IDENTIFIER: US 6146869 A

TITLE: Polypeptides having phospholipase B activity and nucleic acids encoding same

DATE-ISSUED: November 14, 2000

US-CL-CURRENT: 435/198; 435/183, 435/195, 435/69.1, 530/350, 530/371

APPL-NO: 9/426072 DATE FILED: October 21, 1999

IN: Harris; Paul, Brown; Kimberly M.

AB: The present invention relates to isolated polypeptides having phospholipase B

activity and isolated nucleic acid sequences encoding the polypeptides. The invention also

relates to nucleic acid constructs, vectors, and host cells comprising the nucleic acid

sequences as well as methods for producing and using the polypeptides.

L4: Entry 31 of 97

File: USPT

Nov 14, 2000

DOCUMENT-IDENTIFIER: US 6146869 A

TITLE: Polypeptides having phospholipase B activity and nucleic acids encoding same

DEPR:

The present invention also relates to nucleic acid constructs for altering the expression of an

endogenous gene encoding a polypeptide of the present invention. The constructs may contain the

cells comprising the

nucleic acid sequences as well as methods for producing and using the polypeptides.

L4: Entry 33 of 97

File: USPT

Nov 14, 2000

DOCUMENT-IDENTIFIER: US 6146864 A

TITLE: Polypeptides having choline oxidase activity and nucleic acids encoding same

DEPR:

The present invention also relates to nucleic acid constructs for altering the expression of an

endogenous gene encoding a polypeptide of the present invention. The constructs may contain the

minimal number of components necessary for altering expression of the endogenous gene. In one

embodiment, the nucleic acid constructs preferably contain (a) a targeting sequence, (b) a

regulatory sequence, (c) an exon, and (d) a splice-donor site. Upon introduction of the nucleic acid

construct into a cell, the construct inserts by homologous recombination into the cellular genome at

the endogenous gene site. The targeting sequence directs the integration of elements (a)-(d) into

the endogenous gene such that elements (b)-(d) are operably linked to the endogenous gene. In

another embodiment, the nucleic acid constructs contain (a) a targeting sequence, (b) a regulatory

sequence, (c) an exon, (d) a splice-donor site, (e) an intron, and (f) a splice-acceptor site,

wherein the targeting sequence directs the integration of elements (a)-(f) such that elements

(b)-(f) are operably linked to the endogenous gene. However, the constructs may contain additional

components such as a selectable marker.

34. Document ID: US 6139835 A

L4: Entry 34 of 97

File: USPT

Oct 31, 2000

US-PAT-NO: 6139835

DOCUMENT-IDENTIFIER: US 6139835 A

TITLE: Homologous recombination for allogeneic donor cells DATE-ISSUED: October 31, 2000

US-CL-CURRENT: 424/93.21; 435/320.1, 435/325, 435/455, 435/463, 514/44

APPL-NO: 8/ 443647 DATE FILED: May 18, 1995

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATIONS This application is a divisional of application Ser. No.

08/175,469, filed Dec. 30, 1993, now U.S. Pat. No. 5,574,205, which is a continuation-in-part of

application Ser. No. 07/990,879, filed Dec. 11, 1992, now U.S. Pat. No. 5,413,923, which was a

continuation-in-part of application Ser. No. 07/611,020 filed Nov. 9, 1990, now U.S. Pat. No.

5,416,260, which was a continuation-in-part of application Ser. No. 07/431,872 filed Nov. 6,1989,

now abandoned and application Ser. No. 07/385,651, filed Jul. 25, 1989,

now abandoned, and claims

priority to PCT/US90/04178, filed Jul. 25, 1990, the disclosures of which are all incorporated by

reference herein.

IN: Kucherlapati, Raju, Koller, Beverly H., Smithies; Oliver, Dubridge; Robert B.,

Greenburg; Gary, Capon; Daniel J., Williams; Steven R., De Rafael; Mariona Lourdes Arbones

AB: Homologous recombination is employed to inactivate genes, particularly genes

associated with MHC antigens. Particularly, the .beta..sub.2-microglobulin gene is inactivated

for reducing or eliminating the expression of functional Class I MHC antigens. The resulting

cells may be used as allogeneic donor cells. Methods for homologous recombination in

non-transformed mammalian somatic cells are also described.

L4: Entry 34 of 97

File: USPT

Oct 31, 2000

DOCUMENT-IDENTIFIER: US 6139835 A

TITLE: Homologous recombination for allogeneic donor cells

DEPR:

After integration, the cell will comprise a gene for the fusion protein comprising in the 5' to 3'

direction of transcription, the wild-type transcriptional initiation region, the initiation codon,

the sequence encoding the extracellular region and the transmembrane region of the integral membrane

protein, any introns present, the selectable marker gene (including stop codons) which may be

separated from the sequence encoding the transmembrane region by an intron, where appropriate donor

and acceptor splice sites are present for joining the selectable marker gene to the transmembrane

domain encoding sequence, and/or a portion, all or none of the sequence encoding the intracellular

(cytoplasmic) domain of the integral membrane protein, and a transcriptional termination region,

either joined to the selectable marker gene or the wild-type transcriptional termination region of

the target gene.

35. Document ID: US 6139833 A

L4: Entry 35 of 97

File: USPT

Oct 31, 2000

US-PAT-NO: 6139833

DOCUMENT-IDENTIFIER: US 6139833 A

TITLE: Targeted gene discovery DATE-ISSUED: October 31, 2000

US-CL-CURRENT: 424/93.2; 424/184.1, 424/199.1, 424/93.6, 435/235.1, 435/243, 435/252.3, 435/6

APPL-NO: 8/907598 DATE FILED: August 8, 1997

IN: Burgess; Rob, Friedrich; Glenn, Zambrowicz; Brian, Sands; Arthur

47. Document ID: US 5981190 A

L4: Entry 47 of 97

File: USPT

Nov 9, 1999

US-PAT-NO: 5981190

DOCUMENT-IDENTIFIER: US 5981190 A

TITLE: Analysis of gene expression, methods and reagents therefor DATE-ISSUED: November 9, 1999

US-CL-CURRENT: 435/6; 435/91.2, 536/23.1, 536/24.3, 536/24.31, 536/24.32, 536/24.33

APPL-NO: 9/ 004192 DATE FILED: January 8, 1998

PARENT-CASE:

This application claims benefit of priority to provisional application 60/035,231 which was filed Jan. 8, 1997.

IN: Israel: David I.

AB: The present invention is directed to methods and reagents for performing rapid,

detailed analysis of the spatial and temporal expression of genes. The method uses linker tags

in a head to tail array in a serial analysis of gene expression.

L4: Entry 47 of 97

File: USPT

Nov 9, 1999

DOCUMENT-IDENTIFIER: US 5981190 A TITLE: Analysis of gene expression, methods and reagents therefor

DEPR:

Still another example of a technique for targeted cleavage of the sample nucleic acid utilizes the

"reversal of splicing" reaction described in the Jarrell U.S. Pat. No. 5,498,531 with the use of

Y-branched introns as the anchor enzyme. As described by the Jarrell patent, both group I and group

II introns can integrate into foreign RNAs by reversal of the self-splicing reactions. In each case,

the reversal of intron splicing is dependent on a sequence in the RNA transcript which is

complementary to a sequence in the intron. According to the method of the Jarrell patent, RNA

isolated from the sample cells is mixed with a 2'-5' Y-branched intron. The reverse-splicing is

initiated by binding of the intron binding site (IBS) of the RNA to an exon binding sequence (EBS)

of the Y-branched intron, followed by nucleophilic attack by the 3'-OH of the exon on the 2'-5'

phosphodiester bond of the branch site. This reaction, results in the cleavage of the RNA, with

addition of each half of the Y-branched intron to the two portions of the cleaved RNA. Thus, not

only does the Y-branched intron serve the role of an anchor enzyme, the added sequence from the intron can be used as a linker. The sequence of the EBS is generally 3 to 8

intron can be used as a linker. The sequence of the EBS is generally 3 to 8 consecutive residues,

and its sequence can be altered, e.g., the only criteria is that the IBS be complementary. Likewise,

other portions of the Y-branched intron can be altered, e.g., to provide

restriction enzyme

recognition sites for the TE. After reaction, the resulting RNA is reverse transcribed to DNA, and

utilized as described above.

48. Document ID: US 5976795 A

L4: Entry 48 of 97

File: USPT

Nov 2, 1999

US-PAT-NO: 5976795 DOCUMENT-IDENTIFIER: US 5976795 A TITLE: Retrotransposon and methods DATE-ISSUED: November 2, 1999

US-CL-CURRENT: 435/6; 435/254.11, 435/325, 435/462, 536/23.1, 536/23.2

APPL-NO: 8/771602 DATE FILED: December 20, 1996

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATIONS This application takes priority from U.S. Provisional Patent

Application No. 60/010,869, filed Jan. 31, 1996.

IN: Voytas; Daniel F., Zou; Sige

AB: The present disclosure provides retrotransposons and retrotransposon derivatives and

methods for their uses. Specifically, the present invention provides Ty5-6p and derivatives.

Ty5-6p and its derivatives integrate preferentially in the genome of eukaryotes in silent

chromatin and in regions like silent chromatin. Ty5-6p insertions can be used to regulate the

life span of cells, to genetically mark cells, to deliver gene therapy and to identify genes

involved in development and in senescence.

L4: Entry 48 of 97

File: USPT

Nov 2, 1999

DOCUMENT-IDENTIFIER: US 5976795 A TITLE: Retrotransposon and methods

DEPR:

The galactose-inducible Ty5-6p construct was modified to facilitate detection of transposition by

incorporating a selectable marker gene between the end of the Ty5-6p ORF and the beginning of the 3'

LTR (FIG. 3A). A HIS3 marker gene designed to specifically detect Ty1 transposition by reverse

transcription was used (Curcio and Garfinkel 1991). The HIS3 gene carries an artificial intron (AI)

inserted into the HIS3 coding sequence in the antisense orientation, which blocks HIS3 gene

expression. The his3AI marker, however, is oriented in Ty5-6p such that the intron is on the sense

strand of the retrotransposon. The intron, therefore, can be spliced from the Ty5-6p transcript, and

a functional HIS3 gene can be generated through reverse transcription and integration into the

genome. A similar construct was also generated using Ty5-5p, which has the naturally occurring

frameshift mutation before RT.

49. Document ID: US 5972605 A

L4: Entry 49 of 97

File: USPT

Oct 26, 1999

US-PAT-NO: 5972605

DOCUMENT-IDENTIFIER: US 5972605 A

TITLE: Assays for regulators of mammalian telomerase expression DATE-ISSUED: October 26, 1999

US-CL-CURRENT: 435/6; 435/194, 435/252.3, 435/320.1, \$\frac{1}{42}\$5/91.2, 536/23.1, 536/23.2, 536/24.31, 536/24.33

APPL-NO: 8/714482

DATE FILED: September 16, 1996

#### PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATION This application is a continuation-in-part of U.S. Ser. No.

08/521,634, filed Aug. 31, 1995, now abandoned, which is a continuation-in-part of U.S. Ser. No.

08/482,115, filed Jun. 7, 1995, now U.S. Pat. No. 5,776,679, which is a continuation-in-part of U.S.

Ser. No. 08/472,802, filed Jun. 7, 1995, which is a continuation-in-part of 08/330,123, filed Oct.

27, 1994, now U.S. Pat. No. 5,583,016, which is a continuation-in-part of 08/272,102, filed Jul. 7,

1994 (abandoned), all of which are incorporated herein by reference.

IN: Villeponteau; Bryant, Harley; Calvin

AB: Telomerase reporter constructs suitable for use in reporting transcriptional

activity of a mammalian telomerase gene transcription regulatory region contain a transcription

regulatory region of a mammalian telomerase gene operably linked to a reporter polynucleotide

sequence.

L4: Entry 49 of 97

File: USPT

Oct 26, 1999

DOCUMENT-IDENTIFIER: US 5972605 A

TITLE: Assays for regulators of mammalian telomerase expression

BSPR:

The telomerase reporter construct can include additional polynucleotide sequences, such as: origins

of replication for prokaryotic and/or eukaryotic host cells, sequences for gene targeting or

targeted integration by homologous recombination, polyadenylation sequences, splicing sequences,

heterologous promoters, predetermined sequence elements, and the like. The telomerase reporter

constructs of this invention are recombinant nucleic acid molecules.

DEPR

The telomerase reporter construct can include additional polynucleotide sequences, such as: origins

of replication for prokaryotic and/or eukaryotic host cells, sequences for gene targeting or

targeted integration by homologous recombination, polyadenylation

sequences, splicing sequences,

heterologous promoters, predetermined sequence elements, and the like. In an embodiment, the

telomerase reporter construct comprises the hTR gene promoter region comprising the hTR promoter and

about 1.4 kb of immediate upstream sequences. Often, the transcription regulatory region of the telomerase gene is a predetermined cis-acting mammalian telomerase

transcription regulatory sequence that comprises a predetermined polynucleotide sequence, such as an

identified promoter sequence, a
TATA box, a CCAAT box, a recognition site sequence for AP-1, AP-2,

Sp1, NFAT, OCT-1, OCT-2, OAP, NF. kappa.B, CREB, CTF, TFIIA, TFIIB, TFIID, Pit-1, C/EBP, SRF, or

other transcription factor having a known binding site sequence, or the like. Typically, the predetermined cis-acting mammalian

telomerase transcription regulatory region comprises subregions which are identifiable by

foot-printing patterns produced by proteins present in a nuclear extract of mammalian cells

expressing said telomerase gene and/or in cells in which said telomerase gene is substantially not

transcribed.

#### 50. Document ID: US 5928888 A

L4: Entry 50 of 97

File: USPT

Jul 27, 1999

US-PAT-NO: 5928888

DOCUMENT-IDENTIFIER: US 5928888 A

TITLE: Methods and compositions for sensitive and rapid, functional identification of genomic

polynucleotides and secondary screening capabilities DATE-ISSUED: July 27, 1999

US-CL-CURRENT: 435/29; 435/230, 435/4, 435/455, 435/463, 435/6, 435/69.1, 435/91.1, 436/501,

536/23.1, 536/24.3, 536/24.31, 536/24.5

APPL-NO: 8/719697

DATE FILED: September 26, 1996

IN: Whitney; Michael A.

AB: The invention provides for a methods and compositions for identifying proteins or

compounds that directly or indirectly modulate a genomic polynucleotide and methods for

identifying active genomic polynucleotides. Generally, the method comprises inserting a BL

(beta-lactamase) expression construct into an eukaryotic genome, usually non-yeast, contained

in at least one living cell, contacting the cell with a predetermined concentration of a

modulator, and detecting BL activity in the cell.

L4: Entry 50 of 97

File: USPT

Jul 27, 1999

DOCUMENT-IDENTIFIER: US 5928888 A

TITLE: Methods and compositions for sensitive and rapid, functional identification of genomic

polynucleotides and secondary screening capabilities

DEPR:

The splice site acceptor is operably linked to the BL polynucleotide to facilitate expression upon

integration into an intron. Usually, a fusion RNA will be created with the coding region of an

adjacent operably portion of the exon. A splice acceptor sequence is a sequence at the 3' end of an

intron where it junctions with an exon. The consensus sequences for a splice acceptor is NTN(TC)

and the exonic sequence by lower case font. These sequences represent those of which are conserved

from viral to primate genomes.

DEPR:

The splice site donor is operably linked to the BL polynucleotide to facilitate integration in an

intron to promote expression by requiring a poly-adenylation sequence. Usually, a fusion RNA is

created with the coding region on the 3' end of the BL polynucleotide. This is preferred when it is

desired to sequence the coding region of the identified gene. A splice donor is a sequence is a

sequence at the 5' end of an intron where it junctions with an exon. The consensus sequence for a

splice donor sequence is naggt(ag)aGT. The intronic sequences are represented by upper case and the

exonic sequence by lower case font. Theses sequences represent those of which are conserved from

viral to primate genomes.

# 51. Document ID: US 5922601 A

L4: Entry 51 of 97

File: USPT

Jul 13, 1999

US-PAT-NO: 5922601

DOCUMENT-IDENTIFIER: US 5922601 A

TITLE: High efficiency gene trap selection of regulated genetic loci DATE-ISSUED: July 13, 1999

US-CL-CURRENT: 435/456; 435/320.1, 435/325, 435/4, 435/6, 536/23.1, 536/23.4, 536/24.1

APPL-NO: 8/ 716854

DATE FILED: September 16, 1996

PARENT-CASE:

This application is a continuation of application Ser. No. 08/374,833, filed Jan. 19, 1995, now abandoned.

IN: Baetscher; Manfred, Nir; Waan-Jeng

AB: A gene trap construct for identification of genes whose activity is regulated upon a

cellular transition event which comprises in downstream sequence (i) a cassette having a

functional splice acceptor, a translation stop sequence and an internal ribosome entry site and

(ii) a promoterless protein coding sequence encoding at least one polypeptide providing

positive and negative selection traits. A method for identification of genes whose activity is

regulated upon a cellular transition event by introducing the gene trap construct into a cell

and observing expression of the positive and/or negative selection traits

before and after the transition event.

L4: Entry 51 of 97

File: USPT

Jul 13, 1999

DOCUMENT-IDENTIFIER: US 5922601 A

TITLE: High efficiency gene trap selection of regulated genetic loci

BSPR

Strategies that rely on integration of promoterless selectable marker genes to identify active

chromosomal loci and to transcriptionally mark regulated genes were first described in bacteria

(Casadaban and Cohen 1980). These authors used the lactose gene as a reporter gene to identify

transcriptionally active endogenous promoters. Following the identification of the regulatory

sequences, the genes could be isolated, sequenced and identified. In the eukaryotic cells the

.beta.-galactosidase reporter gene has been used to detect chromosomal activity in many cell

lineages. This "trap" strategy has been applied to eukaryotic transcription units and cell specific

enhancers, promoters and poly(A) sequences have been identified. Several enhancer trap vectors have

been described that possibly allow the identification of enhancer sequences. A second kind of trap

vector has been described in the mouse, the "gene trap". Gene trap vectors were designed to generate

spliced fusion transcripts between the reporter gene and the endogenous gene present at the site of

integration. (Gossler et al., 1989).

BSPR:

In one embodiment of this aspect, the retrovirus-derived vector comprises in downstream sequence (a)

an integration sequence and (b) a nucleic acid construct comprising in downstream sequence (i) a

cassette having a functional splice acceptor, a translation stop sequence and an internal ribosome

entry site and (ii) a promoterless protein coding sequence encoding at least one polypeptide

providing positive and negative selection traits. The preferred configurations and examples

described above for the nucleic acid construct are likewise applicable in this vector.

BSPR:

In another embodiment if this aspect, the retrovirus-derived vector comprises in downstream sequence

(a) an integration sequence and (b) a nucleic acid construct comprising in downstream sequence (i) a

cassette having a functional splice acceptor, a translation stop sequence and an internal ribosome

entry site and (ii) a promoterless protein coding sequence which comprises operably linked first and

second nucleic acid sequences encoding separate proteins respectively providing positive and

negative selection traits and an internal ribosome entry site therebetween. The preferred

configuration and examples described above are likewise applicable here.

BSPR:

In another embodiment the retrovirus-derived vector comprises in downstream sequence (a) an

integration sequence and (b) a nucleic acid construct comprising an downstream sequence (i) a

cassette having a functional splice acceptor, a translation stop sequence and an internal ribosome

entry site and (ii) a promoterless protein coding sequence that encodes at least one polypeptide

providing positive and negative selection traits and includes a translation stop sequence, and (iii) a functional donor sequence which lacks a polyadenylation signal in cis. The above described

preferred configurations and examples are likewise applicable in this embodiment.

CLPR:

16. The retrovirus-derived vector of claim 15 which comprises in downstream sequence (a) an

integration sequence and (b) a nucleic acid construct comprising in downstream sequence (i) a

casette having a functional splice acceptor, a translation stop sequence and an internal ribosome

entry site and (ii) a promoterless protein coding sequence and encoding at least one polypeptide

providing positive and a negative selection traits.

CLPR:

19. The retrovirus-derived vector of claim 15 which comprises in downstream sequence (a) an

integration sequence and (b) a nucleic acid construct comprising in downstream sequence (i) a

cassette having a functional splice acceptor, a translation stop sequence and an internal ribosome

entry site and (ii) a promoterless protein coding sequence which comprises operably linked first and

second nucleic acid sequences encoding separate proteins respectively providing positive and

negative selection traits and an internal ribosome entry site therebetween.

CLPR

22. The retrovirus-derived vector of claim 15 which comprises in downstream sequence (a) an

integration sequence and (b) a nucleic acid construct comprising in downstream sequence (i) a

cassette having a functional splice acceptor, a translation stop sequence and an internal ribosome

entry site and (ii) a promoterless protein coding sequence that encodes at least one polypeptide

providing positive and negative selection traits and includes a translation stop sequence, and (iii)

a functional splice donor sequence, and which lacks a polyadenylation signal is cis.

52. Document ID: US 5916810 A

L4: Entry 52 of 97

File: USPT

Jun 29, 1999

US-PAT-NO: 5916810 DOCUMENT-IDENTIFIER: US 5916810 A

TITLE: Method for producing tagged genes transcripts and proteins DATE-ISSUED: June 29, 1999

US-CL-CURRENT: 435/440; 435/455, 435/463, 435/468, 435/471, 435/473, 435/6

APPL-NO: 8/ 901006 DATE FILED: July 28, 1997

PARENT-CASE:

RELATED APPLICATION This application is a continuation of U.S. application Ser. No. 08/000,619,

filed Jan. 5, 1993, now U.S. Pat. No. 5,652,128.

IN: Jarvik; Jonathan W.

AB: The invention described here is a method whereby a molecular tag is put on a gene,

transcript and protein in a single recombinational event. The protein tag

takes the form of a

unique peptide that can be recognized by an antibody or other specific reagent, the transcript

tag takes the form of the sequence of nucleotides encoding the peptide that can be recognized

by a specific polynucleotide probe, and the gene tag takes the form of a larger sequence of

nucleotides that includes the peptide-encoding sequence and other associated nucleotide

sequences. The central feature of the invention in its essential form is that the tag-creating  $% \left( 1\right) =\left( 1\right) \left( 1\right)$ 

DNA has a structure such that when it is inserted into an intron within a gene it creates two

hybrid introns separated by a new exon encoding the protein tag. A major virtue of the method

is that it allows one to identify new proteins or protein-containing structures. and. having

done so, to readily identify and analyze the genes encoding those proteins.

L4: Entry 52 of 97

File: USPT

Jun 29, 1999

DOCUMENT-IDENTIFIER: US 5916810 A

TITLE: Method for producing tagged genes transcripts and proteins

DEPR:

Recombination of a CD-DNA within an intron is essential to successful CD-tagging. FIGS. 9

illustrates the structure of the DNA that results from the integration of a linear CD-DNA within an

intron by recombination at its ends. When transcribed, this DNA yields an RNA that is spliced to

produce an mRNA encoding a protein that contains a guest peptide located precisely between the

protein segments encoded by the exons that bound the target intron. FIG. 10 illustrates the

structure of the DNA that results from the integration of a circular CD-DNA within an intron by a

single crossover. When transcribed, this integrated DNA yields an RNA that is spliced to produce an

mRNA encoding a protein that also contains a guest peptide (in this case encoded in two guest exons)

located precisely between the protein segments encoded by the exons that bound the target intron.

53. Document ID: US 5874283 A

L4: Entry 53 of 97

File: USPT

Feb 23, 1999

US-PAT-NO: 5874283 DOCUMENT-IDENTIFIER: US 5874283 A TITLE: Mammalian flap-specific endonuclease DATE-ISSUED: February 23, 1999

US-CL-CURRENT: 435/252.3; 435/199, 435/252.33, 435/320.1, 435/69.1, 530/350, 536/23.2, 536/23.5

APPL-NO: 8/455968 DATE FILED: May 30, 1995

N: Harrington; John Joseph, Hsieh; Chih-Lin, Lieber; Michael R.

AB: Compositions comprising human FEN-1(flap) endonucleases,

nucleic acids encoding

them, and methods for their use are provided.

L4: Entry 53 of 97

File: USPT

Feb 23, 1999

DOCUMENT-IDENTIFIER: US 5874283 A TITLE: Mammalian flap-specific endonuclease

BSPR:

In one aspect, the invention provides non-human animals (e.g., mice) which comprise a homozygous

pair of functionally disrupted endogenous FEN-1 alleles. Such functionally disrupted endogenous

FEN-a alleles typically result from homologous gene targeting, and often comprise a

naturally-occurring FEN-1 allele which is (1) disrupted by deletion of an essential structural

sequence (e.g., exon) or regulatory sequence (e.g., promoter, enhancer, polyadenylation site, splice

junction site) or (2) disrupted by integration of an exogenous polynucleotide sequence (e.g.,

neo.sup.R gene) into an essential structural sequence (e.g., exon) or regulatory sequence (e.g.,

promoter, enhancer, polyadenylation site, splice junction site). Such FEN-1 knockout animals can be

sold commercially as test animals (e.g., as a preneoplastic animal for testing genotoxic and/or

carcinogenic agents, such as a p53 knockout mouse or the Harvard OncoMouse.TM.), bred to transfer

the disrupted FEN-1 allele(s) into other genetic backgrounds, and sold as disease models for

screening for therapeutic agents, for developing immunodeficient mice substantially lacking the capacity to undergo immunoglobulin VDJ rearrangement and/or isotype

switching,
recombination-deficient mice, and the like. Such knockout animals have

recombination-deficient mice, and the like. Such knockout animals have a wide variety of utilities

in addition to being diagnostic reagents to quantify genotoxicity of a compound or serve as

radiosensitive animals, including serving as pets and sources of animal protein (e.g., as a

foodstuff), among many other practical presently available uses.

°54. Document ID: US 5866318 A

L4: Entry 54 of 97

File: USPT

Feb 2, 1999

US-PAT-NO: 5866318 DOCUMENT-IDENTIFIER: US 5866318 A

TITLE: Inhibition of phospholipase A.sub.2 to reduce neuronal cell death DATE-ISSUED: February 2, 1999

US-CL-CURRENT: 435/4; 435/325, 435/375, 435/377, 435/6

APPL-NO: 8/476463 DATE FILED: June 7, 1995

IN: Rydel; Russell E., Dappen; Michael S.

AB: The invention is drawn to a method for identifying agents that inhibit neural

degeneration by administering to cell populations consisting essentially of neurons or cells

from neuronal cell lines, where these cells are exposed to an apoptotic stimulus other than APP

gene products, an agent, where it is determined whether the agent inhibits neural degeneration.

L4: Entry 54 of 97

File: USPT

Feb 2, 1999

DOCUMENT-IDENTIFIER: US 5866318 A

TITLE: Inhibition of phospholipase A.sub.2 to reduce neuronal cell death

DEPR:

The invention also provides nonhuman animals and cells which harbor at least one integrated

targeting construct that functionally disrupts an endogenous PLA. $\operatorname{sub.2}$  gene locus, typically by

deleting or mutating a genetic element (e.g., exon sequence, splicing signal, promoter, enhancer)

that is required for efficient functional expression of a complete gene product.

#### 55. Document ID: US 5859312 A

L4: Entry 55 of 97

File: USPT

Jan 12, 1999

US-PAT-NO: 5859312

DOCUMENT-IDENTIFIER: US 5859312 A

TITLE: Transgenic non-human animals having targeting endogenous lymphocyte transduction genes and

cognate human transgenes DATE-ISSUED: January 12, 1999

US-CL-CURRENT: 800/9; 435/7.1, 536/23.1, 800/18

APPL-NO: 8/676828 DATE FILED: July 8, 1996

PARENT-CASE:

This is a Continuation of U.S. Ser. No. 08/590,051, filed Jan. 3, 1996, now abandoned, which was a

FWC continuation of U.S. Ser. No. 07/943,818, filed Sep. 11, 1992, now abandoned.

IN: Littman; Daniel, Sawada; Shinichiro, Killeen; Nigel

AB: The invention provides transgenic non-human animals and transgenic non-human

mammalian cells having at least one functionally disrupted lymphocyte transduction locus,

particularly a CD4 locus, targeting constructs used to produce such transgenic stem cells and

animals, methods and targeting constructs for inactivating or suppressing expression of

endogenous lymphocyte transduction gene loci, transgenes encoding heterologous lymphocyte

transduction proteins, and nonhuman animals that express a human lymphocyte transduction  $% \left( 1\right) =\left( 1\right) \left( 1\right)$ 

protein and lack expression of a cognate murine lymphocyte transduction protein.

L4: Entry 55 of 97

File: USPT

Jan 12, 1999

ORPL:

"Integration of Group II Intron bl1 into a Foreign RNA by Reversal of the Self-Splicing Reaction In

Vitro" by Morl and Schmelzer Cell, vol. 60, Feb. 23, 1990, pp. 629-636.

ORPL

"Efficient integration of an intron RNA into double-stranded DNA by reverse splicing" by Yang, et

al., Nature, vol. 381, Mya 1996, pp. 332-335.

62. Document ID: US 5792633 A

L4: Entry 62 of 97

File: USPT

Sep 10, 1998

US-PAT-NO: 5792633 DOCUMENT-IDENTIFIER: US 5792633 A TITLE: Process for gene targeting and genome manipulations DATE-ISSUED: September 10, 1998

US-CL-CURRENT: 435/6; 435/462, 435/483

APPL-NO: 8/338471 DATE FILED: December 12, 1994

PARENT-CASE:

DESCRIPTION This application is a 371 of PCT/US93/04847 filed May 21, 1993, which is a

continuation-in-part of U.S. application Ser. No. 07/944,665 filed Sep. 14,1992, now abandoned,

which is a continuation-in-part of U.S. application Ser. No. 07/887,689 filed May 21, 1992, now abandoned.

PCT-DATA: APPL-NO

DATE-FILED

PUB-NO

PUB-DATE

371-DATE

102(E)-DATE

PCT/US93/04847

May 21, 1993

WO93/23534

Nov 25, 1993

Dec 12, 1994

Dec 12, 1994

IN: Schiestl; Robert H., Petes; Thomas D., Kong; Stephanie E.

AB: A process for insertional mutagenesis and genome manipulations of yeast cells. In

the first step of this process, viable yeast cells are combined with a restriction enzyme

cleaved deoxyribonucleic acid fragment which lacks substantial sequence identity and shows no

specific hybridization signals with the DNA of the viable yeast cells. The viable yeast cells

are then transformed so that the cleaved deoxyribonucleic acid fragment is incorporated into

the yeast cells by nonhomologous recombination; and the transformed yeast cells are then

incubated in the presence of a growth medium.

L4: Entry 62 of 97

File: USPT

DOCUMENT-IDENTIFIER: US 5792633 A

TITLE: Process for gene targeting and genome manipulations

DEPR:

The splice sites, fragments 210 and 230, which border the inducible promoter sequence described

above, provide a mode which will increase the screening efficiency of properly integrated genes. As

known to those in the art and as described in B. Lewin's text Genes IV on pages 578 to 609, DNA

sequences coding for eukaryotic protein are interupted by noncoding sequences called introns. After

transcription, the intervening introns are removed via splicing to create a mature mRNA, which is

then transported to the cytoplasm to be translated into protein. The minimal sequence needed for

splicing appear at the splice sites, which are at the 5' and 3' ends of the intron. A consensus sequence of: AGGU(A)AGU...intron...(U/C)N.sub.11 CAGG 5'

splice site (donor) 3' splice site
(acceptor) where the highlighted nucleotide are essentially invarient, has

been found for splice sites through a comparison of a large number of examples. The splicing of

the MRNA which corresponds
to the antisense strand of the inducible promoter, fragment 220, removes

codons for unnecessary
amino acids into the fragment-160 fusion protein sequence, insertions that
would cause protein

misfolding and general protein unstablilty.

63. Document ID: US 5780272 A

L4: Entry 63 of 97

File: USPT

Jul 14, 1998

US-PAT-NO: 5780272

DOCUMENT-IDENTIFIER: US 5780272 A

TITLE: Intron-mediated recombinant techniques and reagents DATE-ISSUED: July 14, 1998

US-CL-CURRENT: 435/91.31; 435/91.3, 435/91.32, 435/91.5, 536/23.1, 536/23.5, 536/23.53, 536/24.2

APPL-NO: 8/488015 DATE FILED: June 7, 1995

PARENT-CASE:

RELATED APPLICATIONS This application is a continuation-in-part of U.S. Ser. No. 08/119,512, filed

Sep. 10, 1993, now U.S. Pat. No. 5,498,531, entitled "Intron-Mediated Recombinant Techniques and

Reagents", the specification of which is incorporated by reference herein.

IN: Jarrell; Kevin A.

AB: The present invention makes available methods and reagents for novel manipulation of

nucleic acids. As described herein, the present invention makes use of the ability of intronic

sequences, such as derived from group I, group II, or nuclear pre-mRNA introns, to mediate

specific cleavage and ligation of discontinuous nucleic acid molecules. For example, novel

genes and gene products can be generated by admixing nucleic acid constructs which comprise

exon nucleic acid sequences flanked by intron sequences that can direct trans-splicing of the

exon sequences to each other. The flanking intronic sequences can, by intermolecular

complementation, form a reactive complex which promotes the transesterification reactions

necessary to cause the ligation of discontinuous nucleic acid sequences to one another, and

thereby generate a recombinant gene comprising the ligated exons.

L4: Entry 63 of 97

File: USPT

Jul 14, 1998

DOCUMENT-IDENTIFIER: US 5780272 A

TITLE: Intron-mediated recombinant techniques and reagents

#### DEPR:

In addition to the ability of autocatalytic RNAs such as group I and group II introns to excise

themselves from RNA and ligate the remaining exon fragments, ample evidence has accumulated

demonstrating that the autocatalytic RNAs can also catalyze their integration into exogenous RNAs.

For example, both group I and group II introns can integrate into foreign RNAs by reversal of the

self-splicing reactions. The mechanism of the group II intron reverse-splicing reaction is shown in

FIG. 1. In the first step of the reverse reaction, the attack of the 3' OH group of the intron  $3^\prime$ 

terminus at the junction site of the ligated exons yields a splicing intermediate, the intron-3'

exon lariat, and the free 5' exon. In the second step, the 5' exon which is still bound to the

lariat via the IBS 1/EBS 1 base pairing can attack the 2'-5' phosphodiester bond of the branch. This

transesterification step leads to reconstitution of the original precursor. The analogous reaction

of the intron with a foreign RNA harboring an IBS 1 motif results in site-specific integration

downstream of the IBS 1 sequence.

# DEPR:

While FIG. 6 depicts both a 5' exon and 3' exon, the reverse splicing reaction can be carried out

without any 3' exon, the IBS sequence being at the extreme 3' end of the transcript to be activated.

· Alternatively, to facilitate addition of 5' flanking sequences, an exon can be constructed so as to

further include a leader sequence at its 5' end. As shown in FIG. 6, the leader (e.g. the 5' exon)

contains an IBS which defines the splice junction between the leader and "mature" exon. The leader

sequence can be relatively short, such as on the order of 2-3 amino acid residues (e.g. the length

of the IBS). Through a reverse self-splicing reaction using a discontinuous 2'-5' branched intron,

the intronic sequences can be integrated at the splice junction by reversal of the two

transterfication steps in forward splicing. The resulting product includes the mature exon having a

5' flanking intron fragment comprising domains V and VI.

### DEPR:

Accordingly, one aspect of the invention pertains to a preparation of a reverse-splicing intron

which comprises two or more fragments of autocatalytic introns and catalyzes integration of at least

a portion of the reverse-splicing contruct into a substrate ribonucleic acid by a reverse-splicing

reaction. For example, the autocatalytic intron fragments can be derived from one or more group II

introns, and preferably are derived with exon binding site which have been altered by recombinant

mutagenesis. In another illustrative embodiment, the autocatalytic intron

fragments are derived from

group I introns. Again, the specificity of the intron is preferably altered by recombinant

mutagenesis of the internal guide sequence of group I intron fragments.

#### DEPR:

In another embodiment, as is apparent from the description throughout the present application, where

the reverse-splicing intron is derived from a group II intron, it may comprise a first segment

having a 5' portion of a group II intron, which 5' portion includes an exon binding site; and a

second segment comprising a 3' portion of a group II intron, which 3' portion includes a domain V

motif, a branch site acceptor forming a phosphodiester bond with the 5' end of the first segment,

and a nucleophilic group at the 3' end of the second segment for transesterifying a phosphodiester

bond of a ribonucleic acid. By this arrangement, the first and second segments together form an

autocatalytic Y-branched intron which catalyzes integration of at least the first segment of the

reverse-splicing intron into a substrate ribonucleic acid by a reverse-splicing reaction.

#### DEPR:

A, if present, represents a phosphodiester bond between a 3' end of (IVS 1-3) and a 5' end of

(IVS5,6), wherein (IVS1-3) and (IVS5,6) together form an autocatalytic Y-branched intron which

catalyzes integration of at least the (IVSI-3) fragment, if discontinuous with (IVS5,6), into a

substrate ribonucleic acid by a reverse-splicing reaction.

#### CLPR:

6. The reverse-splicing intron of claims 1, 2 or 4, wherein said exon binding site is selected to

provide specific integration into the substrate ribonucleic acid after a selected intron binding

site, which intron binding site is from 3-16 nucleotides in length.

### CLPR:

9. A purified preparation of a reverse-splicing construct, which reverse-splicing construct

comprises two or more fragments of autocatalytic introns and catalyzes integration of at least a

portion of the reverse-splicing construct into a substrate ribonucleic acid by a reverse-splicing

reaction, wherein the portion of the intron sequences that provides sequence specificity for the

substrate ribonucleic acid differs in structure and specificity from the naturally-occurring

sequence of the autocatalytic intron.

### CLPL:

wherein said first and second segments together form an autocatalytic y-branched intron which

catalyzes integration of at least the first segment of the reverse-splicing intron into a substrate

ribonucleic acid by a reverse-splicing reaction.

# CLPL:

wherein (IVS 1-3) and (IVS5,6) together form an autocatalytic Y-branched intron which catalyzes

integration of the (IVS1-3) fragment into a substrate ribonucleic acid by a reverse-splicing

reaction.

### CLPL

wherein (IVS1-3) and (IVS5,6) together form an autocatalytic Y-branched lariat which catalyzes

integration of the reverse-splicing intron into a substrate ribonucleic acid by a reverse-splicing reaction.

### CI PI

wherein said first and second segments together form an autocatalytic y-branched intron which

catalyzes integration of at least the first segment of the reverse-splicing intron into a substrate

ribonucleic acid by a reverse-splicing reaction.

CLPL:

wherein (IVS1-3) and (IVS5,6) together form an autocatalytic Y-branched intron which catalyzes

integration of the reverse-splicing intron into a substrate ribonucleic acid by a reverse-splicing

reaction.

64. Document ID: US 5747307 A

L4: Entry 64 of 97

File: USPT

May 5, 1998

US-PAT-NO: 5747307

DOCUMENT-IDENTIFIER: US 5747307 A

TITLE: Mason-Pfizer Monkey Retroviral packaging defective vectors DATE-ISSUED: May 5, 1998

US-CL-CURRENT: 435/457; 435/320.1, 435/325, 435/465

APPL-NO: 8/ 295737

DATE FILED: August 26, 1994

FOREIGN-APPL-PRIORITY-DATA:

COUNTRY

APPL-NO

APPL-DATE

GB

9204350

February 28, 1992

GB

9208489

April 16, 1992

GB

9219935

September 2, 1992

PCT-DATA:

APPL-NO

DATE-FILED

PUB-NO

PUB-DATE

371-DATE

102(E)-DATE

PCT/GB93/00417

March 1, 1993

WO93/17118

Sep 2, 1993

Aug 26, 1994

Aug 26, 1994

IN: Lever; Andrew Michael Lindsay, Hunter; Eric

AB: Novel vectors are capable of producing MPMV (Mason-Pfizer Monkey Virus) proteins but

not of packaging MPMV RNA, and the information about the packaging signal in MPMV and HIV can

be used to create MPMV and HIV vectors that are capable of transferring foreign genes, e.g. for

use in gene therapy.

L4: Entry 64 of 97

File: USPT

DOCUMENT-IDENTIFIER: US 5747307 A TITLE: Mason-Pfizer Monkey Retroviral packaging defective vectors

CLPR:

7. A vector comprising nucleotides corresponding to the packaging nucleotides of MPMV, a

heterologous gene and, flanking the packaging nucleotides and the heterologous gene, sequences

corresponding to those within and near the MPMV long terminal repeat sequence sufficient for

packaging, reverse transcription and integration of the vector into target cells and expression of

the heterologous gene, wherein the packaging nucleotides are selected from the group consisting of

the nucleotides between the MPMV primer-binding site at nucleotides 348-365 of SEQ ID NO. 2 and the MPMV 5' major splice donor at nucleotides 475-480 of SEQ ID NO. 2,

nucleotides 28 to 50 of SEQ ID

NO. 1, nucleotides 51 to 112 of SEQ ID NO. 1, and nucleotides 1 to 121
of SEQ ID NO. 1.

65. Document ID: US 5714352 A

L4: Entry 65 of 97

File: USPT

Feb 3, 1998

US-PAT-NO: 5714352 DOCUMENT-IDENTIFIER: US 5714352 A TITLE: Directed switch-mediated DNA recombination DATE-ISSUED: February 3, 1998

US-CL-CURRENT: 435/462; 435/320.1, 435/328, 435/372.3

APPL-NO: 8/619109 DATE FILED: March 20, 1996

IN: Jakobovits; Aya

AB: Switch regions derived from an immunoglobulin (Ig) gene are used to direct

recombination between a targeting construct containing a promoter, a switch region (S.sub.1),

and 2) a target locus minimally containing a promoter, a switch region (S.sub.2), and a target sequence.

L4: Entry 65 of 97

File: USPT

Feb 3, 1998

DOCUMENT-IDENTIFIER: US 5714352 A
TITLE: Directed switch-mediated DNA recombination

**BSPR** 

Observations that a single B cell can express more than one isotype simultaneously on its surface is

not explained by the class-switching mechanism since S--S recombination is limited to

intrachromosomal recombination and results in deletion of the exchanged C.sub.H gene. A second

mechanism, called trans-splicing, has been described in which two transcripts generated from

different chromosomes are joined to form a single continuous transcript (Shimizu et al. (1991) J.

Exp. Med. 173:1385-1393). Transgenic mice carrying a rearranged expressible V.sub.H D.sub.H J.sub.H

heavy chain .mu. gene integrated outside the mouse IgH locus were found to produce mRNA having the

V.sub.H D.sub.H J.sub.H region of the transgene correctly spliced to the endogenous C. region. As

with S--S recombination, the frequency of trans-splicing is low, and the factors regulating both

mechanisms are not well understood.

66. Document ID: US 5707821 A

L4: Entry 66 of 97

File: USPT

Jan 13, 1998

US-PAT-NO: 5707821 DOCUMENT-IDENTIFIER: US 5707821 A

 $TITLE: Identification \ of \ phospholipase \ A2 \ inhibitors \ in \ A. beta.$  peptide-mediated neurodegenerative

disease

DATE-ISSUED: January 13, 1998

US-CL-CURRENT: 435/18; 435/4, 514/12

APPL-NO: 8/476464 DATE FILED: June 7, 1995

IN: Rydel; Russell E., Dappen; Michael S.

AB: The invention provides methods and compositions for treating neurodegeneration in

mammalian cells by administering a phospholipase A2 inhibitor.

L4: Entry 66 of 97

File: USPT

Jan 13, 1998

DOCUMENT-IDENTIFIER: US 5707821 A
TITLE: Identification of phospholipase A2 inhibitors in A.beta.
peptide-mediated neurodegenerative
disease

DEPR:

The invention also provides nonhuman animals and cells which harbor at least one integrated

targeting construct that functionally disrupts an endogenous PLA.sub.2 gene locus, typically by

deleting or mutating a genetic element (e.g., exon sequence, splicing signal, promoter, enhancer)

that is required for efficient functional expression of a complete gene

67. Document ID: US 5705375 A

L4: Entry 67 of 97

File: USPT

Jan 6, 1998

US-PAT-NO: 5705375

DOCUMENT-IDENTIFIER: US 5705375 A

TITLE: Transgenic plants having a modified carbohydrate content DATE-ISSUED: January 6, 1998

US-CL-CURRENT: 800/284; 435/201, 435/202, 435/205, 435/252.3, 435/320.1, 435/375, 435/95, 435/96, 536/23.7, 536/24.1, 536/24.5, 800/288

APPL-NO: 8/253575 DATE FILED: June 3, 1994

PARENT-CASE:

This application is a file wrapper continuation of application Ser. No. 07/849,422, filed 12 Jun. 1992, now abandoned.

FOREIGN-APPL-PRIORITY-DATA: COUNTRY

APPL-NO

APPL-DATE

EP

90202438

September 13, 1990

IN: Van Ooyen; Albert Johannes Joseph, Rietveld; Krijn, Quax; Wilhelmus Johannes, Van

Den Elzen; Petrus Josephus Maria, Pen; Jan, Hoekema; Andreas, Sijmons, Peter Christiaan

AB: The present invention provides plants with a modified taste, solids content and/or

texture. The invention also provides methods of obtaining such plants via transformation with

DNA constructs containing genes encoding enzymes capable of degrading plant polysaccharides and

optionally additional genes encoding enzymes which are capable of further modifying the

degradation products resulting from the first degradation step.

L4: Entry 67 of 97

File: USPT

Jan 6, 1998

DOCUMENT-IDENTIFIER: US 5705375 A

TITLE: Transgenic plants having a modified carbohydrate content

BSPR:

Mutants altered in starch metabolism may be obtained via classical techniques such as random

screening procedures and breeding. However, these methods are laborious and time consuming

processes. Moreover, breeding may give rise to the phenotype that is screened for, but may lead to

the loss of other desired characteristics, or the introduction of highly undesired characteristics

(such as potatoes having a high alkaloid content). Changing plant characteristics through genetic

engineering is a precise and predictable method, the nature of the gene which is spliced into the

genome is known and no undesired genes are integrated simultaneously. Finally, modification of a

specific characteristic, for instance, the alteration of the level or nature of certain products in

the mutant is often difficult or even impossible using classical techniques. As such, genetic

modification techniques have opened up new strategies and lead to new products that cannot be

obtained by classical techniques.

L4: Entry 68 of 97

File: USPT

Dec 16, 1997

US-PAT-NO: 5698421

DOCUMENT-IDENTIFIER: US 5698421 A

TITLE: Ribonucleoprotein particles for cleaving double-stranded DNA and inserting an RNA/DNA

molecule into the cleavage site

DATE-ISSUED: December 16, 1997

US-CL-CURRENT: 435/91.1; 435/6, 435/91.31, 435/91.51, 435/91.53, 514/44, 536/24.5

APPL-NO: 8/ 526964

DATE FILED: September 12, 1995

IN: Lambowitz; Alan M., Zimmerly; Steven, Yang; Jian, Guo; Huatao

AB: The present invention provides new methods, employing a nucleotide integrase, for

cleaving double-stranded and single stranded DNA substrates at specific sites and for attaching

nucleic acid molecules to the cleaved DNA substrates. One method uses a nucleotide integrase to

cleave one strand of a double-stranded DNA and to concomitantly attach a nucleic acid molecule

to the cleaved strand. Another method uses a nucleotide integrase to cleave both strands of a

double-stranded DNA substrate and to attach a nucleic acid molecule to one strand of the DNA

substrate. Another method uses a nucleotide integrase to cleave both strands of a

double-stranded DNA substrate and to attach an RNA molecule to one  $\ensuremath{\,^{\prime\prime}}$  strand of the substrate and

for attaching a cDNA to the other strand of the substrate. Another method cleaves single

stranded DNA with the concomitant insertion of a nucleic acid molecule at the cleavage point.

The nucleotide integrase comprises an RNP particle which comprises a group II intron RNA bound

to a group II intron encoded protein. The present invention also relates to purified and

reconstituted RNP particles and reconstituted RNP that cleave DNA substrates.

L4: Entry 68 of 97

File: USPT

Dec 16, 1997

DOCUMENT-IDENTIFIER: US 5698421 A

TITLE: Ribonucleoprotein particles for cleaving double-stranded DNA and inserting an RNA/DNA

molecule into the cleavage site

ORPL:

"Integration of Group II Intron bl1 into a Foreign RNA by Reversal of the Self-Splicing Reaction in

Vitro" by Morl and Schmelzer Cell, vol. 60, Feb. 23, 1990, pp. 629-636.

L4: Entry 69 of 97

File: USPT

Oct 21, 1997

US-PAT-NO: 5679523

DOCUMENT-IDENTIFIER: US 5679523 A

TITLE: Method for concurrent disruption of expression of multiple alleles of mammalian genes

DATE-ISSUED: October 21, 1997

US-CL-CURRENT: 435/6; 435/320.1, 435/463, 536/23.2, 536/24.5

APPL-NO: 8/585758 DATE FILED: January 16, 1996

IN: Li; Limin, Cohen; Stanley N.

AB: Methods are provided for identifying a gene at a random chromosomal locus in the

genome of a mammalian cell. The method involves inactivating one copy of the gene by

integrating one DNA construct (knockout construct) in that gene copy. The knockout construct

includes a positive selection marker region sequence and, in a 5' direction from the selection

marker region sequence, a transcription initiation region sequence responsive to a  $\ensuremath{\mathbf{a}}$ 

transactivation factor, said transcription initiation region oriented for antisense RNA

transcription in the direction away from the selection marker region sequence. The second copy

of the gene is inactivated by transforming the cells with a second DNA construct

(transactivation construct) containing a gene sequence for the transactivation factor which

initiates antisense RNA transcription extending from the knockout construct into the

chromosomal locus flanking the knockout construct at its  $5^{\prime}$  end. Inactivation of both gene

copies may result in a change in cell phenotype distinguishable from the wild-type phenotype.

Optionally, the wild-type phenotype can be regained by introducing a third construct that can

inhibit antisense RNA transcription.

L4: Entry 69 of 97

File: USPT

Oct 21, 1997

DOCUMENT-IDENTIFIER: US 5679523 A

TITLE: Method for concurrent disruption of expression of multiple alleles of mammalian genes

BSPR:

Optionally, the knockout construct contains a splice acceptor sequence which is located usually

about 20 or fewer base pairs upstream of the positive selection marker region, although it may be

within or downstream from the TF promoter. The splice acceptor sequence is useful in case the

knockout construct has integrated at an intron or 3' UTR of a chromosomal gene and is employed for

splicing the precursor RNA to incorporate the positive selection marker gene sequence in the mRNA.

If the coding sequence is incorporated into the 5' UTR, the coding sequence will include an

initiation codon.

# 72. Document ID: US 5652128 A

L4: Entry 72 of 97

File: USPT

Jul 29, 1997

US-PAT-NO: 5652128

DOCUMENT-IDENTIFIER: US 5652128 A

TITLE: Method for producing tagged genes, transcripts, and proteins DATE-ISSUED: July 29, 1997

US-CL-CURRENT: 435/6; 435/325, 435/419, 435/463, 435/69.7, 435/91.1

APPL-NO: 8/000619 DATE FILED: January 5, 1993

IN: Jarvik; Jonathan Wallace

AB: The invention described here is a method whereby a molecular tag is put on a gene,

transcript and protein in a single recombinational event. The protein tag takes the form of a

unique peptide that can be recognized by an antibody or other specific reagent, the transcript

tag takes the form of the sequence of nucleotides encoding the peptide that can be recognized

by a specific polynucleotide probe, and the gene tag takes the form of a larger sequence of

nucleotides that includes the peptide-encoding sequence and other associated nucleotide

sequences. The central feature of the invention in its essential form is that the tag-creating

DNA has a structure such that when it is inserted into an intron within a gene it creates two

hybrid introns separated by a new exon encoding the protein tag. A major virtue of the method

is that it allows one to identify new proteins or protein-containing structures, and, having

done so, to readily identify and analyze the genes encoding those proteins.

L4: Entry 72 of 97

File: USPT

Jul 29, 1997

DOCUMENT-IDENTIFIER: US 5652128 A

TITLE: Method for producing tagged genes, transcripts, and proteins

DEPR:

Recombination of a CD-DNA within an intron is essential to successful CD-tagging. FIG. 9 illustrates

the structure of the DNA that results from the integration of a linear CD-DNA within an intron by

recombination at its ends. When transcribed, this DNA yields an RNA that is spliced to produce an

mRNA encoding a protein that contains a guest peptide located precisely between the protein segments

encoded by the exons that bound the target intron. FIG. 10 illustrates the structure of the DNA that

results from the integration of a circular CD-DNA within an intron by a single crossover. When

transcribed, this integrated DNA yields an RNA that is spliced to produce an mRNA encoding a protein

that also contains a guest peptide (in this case encoded in two guest exons) located precisely

between the protein segments encoded by the exons that bound the target intron.

#### 73. Document ID: US 5650286 A

L4: Entry 73 of 97

File: USPT

Jul 22, 1997

US-PAT-NO: 5650286

DOCUMENT-IDENTIFIER: US 5650286 A

TITLE: Genomic DNA of human cholesterol 7.alpha,-hydroxylase and methods for using it

DATE-ISSUED: July 22, 1997

US-CL-CURRENT: 435/7.6; 435/184

APPL-NO: 8/483852 DATE FILED: June 7, 1995

PARENT-CASE:

This application is a continuation of application Ser. No. 08/361,458, filed Dec. 21, 1994, which is

a continuation of Ser. No. 08/135,488, filed Oct. 13, 1993, abandoned.

N: Chiang; John Young Ling

AB: Genomic DNA of cholesterol 7.alpha.-hydroxylase and a minigene are disclosed. The

minigene is used for making a transgenic animal that produces functionally active cholesterol

7.alpha.-hydroxylase and functions as a disease model. A cholesterol 7.alpha.-hydroxylase

promoter region and reporter gene construct is provided, as well as a transgenic animal that

expresses the promoter/reporter gene.

L4: Entry 73 of 97

File: USPT

Jul 22, 1997

DOCUMENT-IDENTIFIER: US 5650286 A

TITLE: Genomic DNA of human cholesterol 7 alpha.-hydroxylase and methods for using it

DEPR:

Furthermore, the presence of intronic sequences within the transgene have been shown to eliminate or

at least dampen inhibitory effects of the site of DNA integration into the genome. Behringer et al.,

Science 245: 971 (1989); Lang et al., EMBO J. 7: 1675 (1988). For example, in order to overcome the

positional effects of integration upon expression levels, the prior art has positioned enhancer

regions 10-50 kb upstream, introns, or parts of introns close to splice junctions in the DNA

constructs for transgenic animal production. Brinster et al., Proc. Natl. Acad. Sci. USA 85:836

(1988); Buchman et al., Mol. Cell Biol. 8:4395 (1988). Therefore, one of ordinary skill in this art.

given the DNA sequence of the present invention, would be able to construct various combinations of

cis-acting regulatory elements of the human cholesterol

7.alpha.-hydroxylase gene to test which

regions are required for expression of the human gene at levels equivalent to or higher than that in

the unmodified organ. The cis-acting regulatory elements of the human cholesterol

7.alpha.-hydroxylase gene to be used in such constructs are selected from: the 5' upstream region,

the first intron, second intron and third intron of the human gene.

BSPR:

DNA constructs will be prepared for integration into the target locus. The construct will comprise

the marker gene, with its appropriate transcriptional and translational initiation and termination

regulatory regions, flanked by regions of homology with the target locus. The integration of the

subject marker gene at the target locus may interfere with functional expression in a variety of

ways, preferably by insertion into the coding region or an exon of the target locus, but may also

interfere by insertion into an intron where the construct has a splice-acceptor site adjacent to the

marker gene, as to truncate the target locus and prevent expression of a functional protein. If one

wishes, rather than providing for an independent transcriptional initiation region as part of the

construct, one could provide for integration into the target locus at a site which introduces the

marker gene under the transcriptional control of the transcription initiation region of the target

gene, e.g. into an intron where the construct has at the 5' terminus of the marker gene a

splice-acceptor site, or into an exon resulting in fusion of the reading frames of the target and

marker genes. The significant factor is that integration of the marker gene at the target locus

results in inactivation of the target gene.

## 78. Document ID: US 5574205 A

L4: Entry 78 of 97

File: USPT

Nov 12, 1996

US-PAT-NO: 5574205

DOCUMENT-IDENTIFIER: US 5574205 A

TITLE: Homologous recombination for universal donor cells and chimeric mammalian hosts

DATE-ISSUED: November 12, 1996

US-CL-CURRENT: 800/3; 424/9.2, 424/93.21, 435/320.1, 800/11, 800/18, 800/22

APPL-NO: 8/ 175469

DATE FILED: December 30, 1993

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATIONS This application is a continuation-in-part of application

Ser. No. 990,879, filed Dec. 11, 1992, now U.S. Pat. No. 5,413,923 which was a continuation-in-part

of application Ser. No. 611,020 filed Nov. 9, 1990, now U.S. Pat. No. 5,416,260 which was a

continuation-in-part of application Ser. No. 431,872 filed Nov. 6, 1989, now abandoned and application Ser. No. 385,651, filed Jul. 25, 1989 now abandoned and

claims priority to PCT/US90/04

178, filed Jul. 25, 1990, the disclosures of which are all incorporated by reference herein.

IN: Kucherlapati; Raju, Koller; Beverly H., Smithies; Oliver, Dubridge; Robert B.,

Greenburg; Gary, Capon; Daniel J., Williams; Steven R., De Rafael; Mariona L. A.

AB: Homologous recombination is employed to inactivate genes, particularly genes

associated with MHC antigens. Particularly, each of the .beta..sub.2-

microglobulin gene and

the IFN-.gamma.R gene is inactivated for reducing or eliminating the expression of functional

MHC antigens. The resulting cells may be used as universal donor cells. In addition, embryonic

stem cells may be modified by homologous recombination for use in producing chimeric or

transgenic mammalian hosts, which may be used as source of universal donor organs, or as models

for drug and transplantation therapies. Methods for homologous recombination in non-transformed

mammalian somatic cells are also described.

L4: Entry 78 of 97

File: USPT

Nov 12, 1996

DOCUMENT-IDENTIFIER: US 5574205 A

TITLE: Homologous recombination for universal donor cells and chimeric mammalian hosts

DEPR:

After integration, the cell will comprise a gene for the fusion protein comprising in the 5' to 3'

direction of transcription, the wild-type transcriptional initiation region, the initiation codon,

the sequence encoding the extracellular region and the transmembrane region of the integral membrane

protein, any introns present, the selectable marker gene (including stop codons) which may be

separated from the sequence encoding the transmembrane region by an intron, where appropriate donor

and acceptor splice sites are present for joining the selectable marker gene to the transmembrane

domain encoding sequence, and/or a portion, all or none of the sequence encoding the intracellular

(cytoplasmic) domain of the integral membrane protein, and a transcriptional termination region,

either joined to the selectable marker gene or the wild-type transcriptional termination region of

the target gene.

# 79. Document ID: US 5498531 A

L4: Entry 79 of 97

File: USPT

Mar 12, 1996

US-PAT-NO: 5498531

DOCUMENT-IDENTIFIER: US 5498531 A

TITLE: Intron-mediated recombinant techniques and reagents DATE-ISSUED: March 12, 1996

US-CL-CURRENT: 435/91.31; 435/91.3, 435/91.32, 435/91.5, 536/23.1, 536/23.5, 536/23.53

APPL-NO: 8/119512 DATE FILED: September 10, 1993

IN: Jarrell; Kevin A.

AB: The present invention makes available methods and reagents for novel manipulation of

nucleic acids. As described herein, the present invention makes use of the ability of intronic

sequences, such as derived from group I, group II, or nuclear pre-mRNA introns, to mediate

specific cleavage and ligation of discontinuous nucleic acid molecules. For example, novel

genes and gene products can be generated by admixing nucleic acid constructs which comprise

exon nucleic acid sequences flanked by intron sequences that can direct trans-splicing of the

exon sequences to each other. The flanking intronic sequences can, by intermolecular

complementation, form a reactive complex which promotes the transesterification reactions

necessary to cause the ligation of discontinuous nucleic acid sequences to one another, and

thereby generate a recombinant gene comprising the ligated exons.

L4: Entry 79 of 97

File: USPT

Mar 12, 1996

DOCUMENT-IDENTIFIER: US 5498531 A TITLE: Intron-mediated recombinant techniques and reagents

DEPR-

In addition to the ability of autocatalytic RNAs such as group I and group II introns to excise

themselves from RNA and ligate the remaining exon fragments, ample evidence has accumulated

demonstrating that the autocatalytic RNAs can also catalyze their integration into exogenous RNAs.

For example, both group I and group II introns can integrate into foreign RNAs by reversal of the

self-splicing reactions. The mechanism of the group II intron reverse-splicing reaction is shown in

FIG. 1. In the first step of the reverse reaction, the attack of the 3' OH group of the intron 3'

terminus at the junction site of the ligated exons yields a splicing intermediate, the intron-3'

exon lariat, and the free 5' exon. In the second step, the 5' exon which is still bound to the

lariat via the IBS 1/EBS 1 base pairing can attack the 2'-5' phosphodiester bond of the branch. This

 $transesterification \ step \ leads \ to \ reconstitution \ of \ the \ original \ precursor. \ The \ analogous \ reaction$ 

of the intron with a foreign RNA harboring an IBS 1 motif results in site-specific integration

downstream of the IBS 1 sequence.

DEPR:

While FIG. 6 depicts both a 5' exon and 3' exon, the reverse splicing reaction can be carried out

without any 3' exon, the IBS sequence being at the extreme 3' end of the transcript to be activated.

Alternatively, to facilitate addition of 5' flanking sequences, an exon can be constructed so as to

further include a leader sequence at its 5' end. As shown in FIG. 6, the leader (e.g. the 5' exon)

contains an IBS which defines the splice junction between the leader and "mature" exon. The leader

sequence can be relatively short, such as on the order of 2-3 amino acid residues (e.g. the length

of the IBS). Through a reverse self-splicing reaction using a discontinuous 2'-5' branched intron,

the intronic sequences can be integrated at the splice junction by reversal of the two

transterfication steps in forward splicing. The resulting product includes the mature exon having a

5' flanking intron fragment comprising domains V and VI.

L4: Entry 80 of 97

File: USPT

Jan 9, 1996

US-PAT-NO: 5482853

DOCUMENT-IDENTIFIER: US 5482853 A

TITLE: Position-specific insertion vectors and method of using same DATE-ISSUED: January 9, 1996

US-CL-CURRENT: 435/456; 435/235.1, 435/320.1, 435/325, 435/462

DISCLAIMER DATE: 20110308 APPL-NO: 8/ 128483 DATE FILED: September 28, 1993

PARENT-CASE:

This application is a continuation of application Ser. No. 07/895,333, filed Jun.  $8,\,1992$  now U.S.

Pat. No. 5,292,662, which is a continuation of application Ser. No. 07/276,201, filed Nov. 23, 1988.

IN: Sandmeyer; Suzanne B.

AB: The present invention provides vectors for the efficient and position-specific

integration of expressible, exogenous nucleotide sequences into cellular genomes. This

invention takes advantage of the discovery of a position-specific endonuclease and

position-specific insertion markers for the design of said vectors. In addition, a gene  $\,$ 

comprising a recombinant nucleic acid molecule encoding a polypeptide possessing the biological

activity of a position-specific endonuclease, wherein the biological activity of said

endonuclease is the catalysis of position-specific insertion of genetic material carried

between the position-specific integration markers, is disclosed.

L4: Entry 80 of 97

File: USPT

Jan 9, 1996

DOCUMENT-IDENTIFIER: US 5482853 A

TITLE: Position-specific insertion vectors and method of using same

BSPR:

There are now several variations of vectors in common use. One such vector, N2, is based on a murine

leukemia virus and contains the complete retrovirus LTRs, the primer binding sites, the psi

sequence, and a copy of the Tn5 neomycin-resistance gene (neoR) which is expressed from an internal

(non-LTR) promoter. Conventional variations on this vector include the following: 1) substitution of

different drug resistance markers; 2) expression of a second gene from a spliced message; and 3)

modification of the LTRs to inactivate the LTR promoter at the 5' end once integration has occurred.

Although these modifications do have technical advantages, they can also result in the production of

decreased titers of a virus, thereby reducing their utility.

81. Document ID: US 5364772 A

L4: Entry 81 of 97

File: USPT

US-PAT-NO: 5364772

DOCUMENT-IDENTIFIER: US 5364772 A

TITLE: DNA molecule encoding the .beta..sub.3 -adrenergic receptor DATE-ISSUED: November 15, 1994

US-CL-CURRENT: 435/69.1; 435/320.1, 435/361, 536/23.1

APPL-NO: 7/916901 DATE FILED: July 20, 1992

IN: Granneman; James G., Lahners; Kristine N., Rao; Donald D.

AB: Described herein is the .beta..sub.3 -adrenergic receptor protein and DNA which

encodes the protein, vectors containing the DNA, host cells transformed with the vectors and

methods of using the protein, the DNA and the transformed host cells.

L4: Entry 81 of 97

File: USPT

Nov 15, 1994

DOCUMENT-IDENTIFIER: US 5364772 A

TITLE: DNA molecule encoding the .beta..sub.3 -adrenergic receptor

DEPR:

To further verify the GT donor splice site, RNA was obtained from CHO cells that had been

transfected to express the truncated (encoding 402 amino acids) human beta..sub.3 receptor gene and

was subjected to RNase protection analysis with a cRNA probe derived from the human .beta..sub.3

receptor gene (p174, FIG. 9). CHO cellular RNA protected both  $256~{\rm nt}$  and  $194~{\rm nt}$  of the cRNA probe.

The presence of the 194 nt fragment demonstrates that the 5' donor splice signal present in the gene

is utilized by CHO cells, and results in the splicing of the first exon with sequences with the

expression vector or at the site of DNA integration. Such splicing would be expected to produce a

fusion protein, making cells that express such constructs unacceptable for drug screening.

82. Document ID: US 5292662 A

L4: Entry 82 of 97

File: USPT

Mar 8, 1994

US-PAT-NO: 5292662

DOCUMENT-IDENTIFIER: US 5292662 A

TITLE: Position-specific insertion vectors and method of using same DATE-ISSUED: March 8, 1994

US-CL-CURRENT: 435/320.1; 536/23.1, 536/23.2

APPL-NO: 7/ 895333 DATE FILED: June 8, 1992

PARENT-CASE:

This application is a continuation of application Ser. No. 07/276,201, filed Nov. 23, 1988, now abandoned.

IN: Sandmeyer; Suzanne B.

AB: The present invention provides vectors for the efficient and position-specific

integration of expressible, exogenous nucleotide sequences into cellular genomes. This

invention takes advantage of the discovery of a position-specific endonuclease and

position-specific insertion markers for the design of said vectors. In addition, a gene

comprising a recombinant nucleic acid molecule encoding a polypeptide possessing the biological

activity of a position-specific endonuclease, wherein the biological activity of said

endonuclease is the catalysis of position-specific insertion of genetic material carried

between the position-specific integration markers, is disclosed.

L4: Entry 82 of 97

File: USPT

Mar 8, 1994

DOCUMENT-IDENTIFIER: US 5292662 A

TITLE: Position-specific insertion vectors and method of using same

BSPR:

There are now several variations of vectors in common use. One such vector, N2, is based on a murine

leukemia virus and contains the complete retrovirus LTRs, the primer binding sites, the psi

sequence, and a copy of the Tn5 neomycin-resistance gene (neoR) which is expressed from an internal  $\,$ 

(non-LTR) promoter. Conventional variations on this vector include the following: 1) substitution of different drug resistance markers; 2) expression of a second gene from a

spliced message; and 3)
modification of the LTRs to inactivate the LTR promoter at the 5' end once

integration has occurred.

Although these modifications do have technical advantages, they can also result in the production of

decreased titers of a virus, thereby reducing their utility.

83. Document ID: US 5073571 A

L4: Entry 83 of 97

File: USPT

Dec 17, 1991

US-PAT-NO: 5073571 DOCUMENT-IDENTIFIER: US 5073571 A TITLE: Method of inhibiting virus DATE-ISSUED: December 17, 1991

US-CL-CURRENT: 514/557; 514/558

APPL-NO: 7/ 402094 DATE FILED: September 1, 1989

PARENT-CASE:

CROSS-REFERENCE TO RELATED APPLICATION This is a continuation-in-part of copending application Ser.

No. 07/208,192, filed June 16, pending, which in turn is a continuation-in-part of application Ser.

No. 07/151,774, filed Feb. 3, 1988, now abandoned.

IN: Heuckeroth; Robert O., Adams; Steven P., Gordon; Jeffrey I.

AB: A method of inhibiting viruses by treatment with oxy- and

thio-substituted fatty

acid analog substrates of myristoylating enzymes is disclosed. These fatty acid analogs contain

an oxygen or sulfur in place of a methylene group in a carbon position from 4 to 13 in the

fatty acid chain of a C.sub.13 -C.sub.14 fatty acid or alkyl ester thereof.

L4: Entry 83 of 97

File: USPT

Dec 17, 1991

DOCUMENT-IDENTIFIER: US 5073571 A TITLE: Method of inhibiting virus

DEPR:

FIG. 2 is a graphical representation which shows the effect of oxygen substituted analogs of

myristic acid on MoMLV replication. Panel A -Schematic representation of the MoMLV assay system. The

circle at the left of the diagram shows the LZ1 virus producing cell that contains (i) a stably

integrated packaging mutant of MoMLV designated pMOV-.PSI. which has a 350 bp deletion between the

5' splice acceptor site for env and the initiator Met codon (AUG) of Pr65.sup.gag and (ii) a second

integrated, recombinant DNA sequence with these missing packaging sequences plus the E. coli LacZ

gene downstream from an SV40 promoter. This cell produces infectious, replication defective virus

(designated (LZ1) which carries the LacZ gene. When NIH3T3 cells are exposed to supernatant taken

from the LZ1 virus producing cells, they are initially infected and their progeny are detectable by

histochemical staining for .beta.-galactosidase. Thus, the titer of the virus produced by the LZ1

cells after treatment with the various agents, can be readily determined by counting the number of

blue NIH3T3 cells. Replication competent MoMLV is not detected using this assay system since the

lacZ gene product is not present. Panel B - The effect of 6-oxamyristic acid and 13-oxamyristic acid

on LZ1 virus production. The results represent the mean (+1 standard deviation) of three independent

tests each done in duplicate. Panel C - Number of viable LZ1 producing cells at the end of a 2 day

treatment with analog as measured by trypan blue exclusion. The results of duplicate assays were

averaged. Panel D - The effects of analog treatment on incorporation of [.sup.3 H]leucine. Cells in

duplicate wells were pulse labeled for 4 h following three days of incubation with analog or ethanol

(0.1%). Duplicate assays were performed. The results were averaged and expressed as a percentage of

the results obtained from untreated cells.

84. Document ID: WO 9829533 A1

L4: Entry 84 of 97

File: EPAB

Jul 9, 1998

PUB-NO: W0009829533A1
DOCUMENT-IDENTIFIER: WO 9829533 A1
TITLE: VECTORS AND METHODS FOR THE MUTAGENESIS OF
MAMMALIAN GENES

PUBN-DATE: July 9, 1998

INT-CL (IPC): C12N 5/16; C12N 5/18; C12N 15/85; C12N 15/86; C12N 15/90

EUR-CL (EPC): C12N015/86; C12N015/10

APPL-NO: US09723956 APPL-DATE: December 31, 1997

PRIORITY-DATA: US03409496P (December 31, 1996)

N: GAITANARIS, GEORGE A

AB: CHG DATE=19990617 STATUS=O>Disclosed herein are methods for mutagenizing a mammalian

gene, the methods involving introducing into a mammalian cell a retroviral vector which

includes a splice acceptor sequence, a transcription termination sequence, and retroviral

packaging and integration sequences, the introducing step being carried out under conditions

which allow the vector to integrate into the genome of the cell. Also disclosed are retroviral

vectors for use in these methods as well as methods for the use of mutagenized cells.

L4: Entry 84 of 97

File: EPAB

Jul 9, 1998

DOCUMENT-IDENTIFIER: WO 9829533 A1 TITLE: VECTORS AND METHODS FOR THE MUTAGENESIS OF MAMMALIAN GENES

FPAR:

CHG DATE=19990617 STATUS=O>Disclosed herein are methods for mutagenizing a mammalian gene, the

methods involving introducing into a mammalian cell a retroviral vector which includes a splice

acceptor sequence, a transcription termination sequence, and retroviral packaging and integration

sequences, the introducing step being carried out under conditions which allow the vector to

integrate into the genome of the cell. Also disclosed are retroviral vectors for use in these

methods as well as methods for the use of mutagenized cells.

85. Document ID: WO 9423049 A2

L4: Entry 85 of 97

File: EPAB

Oct 13, 1994

PUB-NO: WO009423049A2 DOCUMENT-IDENTIFIER: WO 9423049 A2 TITLE: THE INTRODUCTION AND EXPRESSION OF LARGE GENOMIC SEQUENCES IN TRANSGENIC ANIMALS

PUBN-DATE: October 13, 1994

INT-CL (IPC): C12N 15/87; A01K 67/027; C12N 15/12 EUR-CL (EPC): C07K014/47; C12N015/81, A01K067/027

APPL-NO: US09403619 APPL-DATE: April 1, 1994

PRIORITY-DATA: US04239093A (April 2, 1993)

File: DWPI

Sep 13, 2000

DERWENT-ACC-NO: 1999-347467 DERWENT-WEEK: 200046

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TITLE: Nucleic acid flanked by binding sites for SB transposase - used to identify enhancers and

coding sequences and for gene transfer

ABTX:

NOVELTY - Nucleic acid fragment (A) comprises a coding sequence (I) positioned between at least 2

inverted repeats (IR) that can bind to an SB protein (transposase). DETAILED DESCRIPTION -

INDEPENDENT CLAIMS are also included for the following: (a) method for identifying an enhancer in a

cell by inserting: (i) (A) in which (I) encodes a marker and is under control of a weak promoter,

and (ii) a transposase source, then detecting the marker in the cell (or its progeny) to indicate

that (A) has integrated into a DNA domain that contains an enhancer; (b) method for identifying a

genomic coding sequence by a method similar to (a) but where (A) also contains a splice acceptor

site (SAS) and internal ribosome entry site (IRES), both linked to the marker-encoding sequence; (c)

method for identifying the function of an analyte coding sequence by a method similar to (a) but

where (A) also includes the analyte sequence (5'-to the marker) and an IRES, and detection of any

change in phenotype of marker-expressing cells; (d) gene transfer system comprising (A) and either

an SB protein or nucleic acid encoding it; (e) preparation of a transgenic animal by introducing

(A), containing a heterologous sequence, into a cell, also animals and their progeny produced this

way; (f) gene transfer system for introducing (I) into the DNA of a fish comprising (I) that

includes an IRES and is able to integrate into the genome; and (h) transgenic fish, or their cells,

containing a heterologous IRES.

95 Document ID: US 5780272 A

L4: Entry 95 of 97

File: DWPI

Jul 14, 1998

DERWENT-ACC-NO: 1998-413060 DERWENT-WEEK: 200101

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TITLE: Reverse splicing construct containing fragments of autocatalytic introns - able to cleave and

ligate discontinuous nucleic acid for generating new genes and e.g. ribozymes, libraries of enzymes and antibodies

PRIORITY-DATA: 1995US-0488015 (June 7, 1995), 1993US-0119512 (September 10, 1993)

PATENT-FAMILY: PUB-NO

PUB-DATE

LANGUAGE PAGES MAIN-IPC

US 5780272 A

July 14, 1998

056

C12N015/11

APPLICATION-DATA:

PUB-NO

APPL-DATE

APPL-NO

DESCRIPTOR

US 5780272A

September 10, 1993

1993US-0119512

CIP of

US 5780272A

June 7, 1995

1995US-0488015

US 5780272A

US 5498531

CIP of

INT-CL (IPC): C12N 15/11; C12N 15/13; C12P 19/34

IN: JARRELL, K A

AB: Purified reverse-splicing intron (A) comprises: (i) first segment (I) comprising a

5'-part of a group II intron, including an exon binding site not naturally present in the

intron and (ii) a second segment (II) comprising a 3'-part of a group II intron, including a

domain V motif, a branch site accepter, forming a phosphodiester bond with the 5'-end of (I),

and a nucleophilic group at the 3'-end of (II) for transesterifying a phosphodiester bond of an RNA. Together (I) and (II) form an autocatalytic Y-branched intron

which catalyses integration of at least (I) into substrate RNA by a reverse splicing reaction, USE -

 (A) are used, by specific cleavage and ligation of discontinuous nucleic acid, to generate

new genes and gene
products, e.g. ribozymes (for use in gene therapy or as reagents in DNA

manipulation, e.g. replacements for restriction enzymes) or immunologically active or

signal-transducing proteins
such as antibody and enzyme libraries., ADVANTAGE - Circular RNA

of (5) should have increased stability and provide higher level of protein translation. Using variegated

stability and provide higher level of protein translation. Using variegated libraries provides

a combinatorial approach for rapid and efficient screening of very many new genes or products,

and allows capture of a very wide range of exons.

L4: Entry 95 of 97

File: DWPI

Jul 14, 1998

DERWENT-ACC-NO. 1998-413060 DERWENT-WEEK: 200101 COPYRIGHT 2001 DERWENT INFORMATION LTD

TITLE: Reverse splicing construct containing fragments of autocatalytic introns - able to cleave and

ligate discontinuous nucleic acid for generating new genes and e.g. ribozymes, libraries of enzymes

and antibodies

ABTX:

Purified reverse-splicing intron (A) comprises: (i) first segment (I) comprising a 5'-part of a

group II intron, including an exon binding site not naturally present in the intron and (ii) a

second segment (II) comprising a 3'-part of a group II intron, including a

domain V motif, a branch

site accepter, forming a phosphodiester bond with the 5'-end of (I), and a nucleophilic group at the

3'-end of (II) for transesterifying a phosphodiester bond of an RNA. Together (I) and (II) form an

autocatalytic Y-branched intron which catalyses integration of at least (I) into substrate RNA by a

reverse splicing reaction

96. Document ID: AU 736267 B, WO 9829533 A1, AU 9858079 A, EP 951533 A1, US 6228639 B1, JP 2001507577 W

L4: Entry 96 of 97

File: DWPI

Jul 26, 2001

DERWENT-ACC-NO: 1998-388115 DERWENT-WEEK: 200149 COPYRIGHT 2001 DERWENT INFORMATION LTD

TITLE: Mutagenesis of mammalian genes - using retroviral vectors comprising a splice acceptor

sequence, a transcription termination sequence and retroviral packaging and integration sequences

PRIORITY-DATA: 1996US-0034094 (December 31, 1996), 1997US-0002046 (December 31, 1997)

PATENT-FAMILY:

PUB-NO

PUB-DATE

LANGUAGE

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AU 9858079 A

July 31, 1998

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EP 951533 A1

October 27, 1999

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APPLICATION-DATA:

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DESCRIPTOR

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19

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INT-CL (IPC): A01K 67/027; C12N 5/00; C12N 5/10; C12N 5/16; C12N 5/18; C12N 15/09; C12N 15/85; C12N 15/86; C12N 15/90; C12Q 1/68

IN: GAITANARIS, GA

AB: A method (A) for mutagenising a mammalian gene comprises introducing into a

mammalian cell a retroviral vector which comprises:, (a) a splice acceptor sequence (SAS);, (b)

a transcription termination sequence (TTS);, (c) a retroviral packaging sequence (RPS); and,

(d) a retroviral integration sequence (RIS);, the introducing step being carried out to allow

the vector to integrate into the genome of the cell., Also claimed are: (1) a retroviral vector comprising a SAS, TTS, RPS and RIS;, (2) a cell containing a retroviral

vector as in (1);, (3)
a transgenic non-human mammal comprising a retroviral vector as in (2);,

(4) a library of mutagenised mammalian genes produced by the method (A);, (5) cells

comprising a library of mutagenised mammalian genes produced by the method (A);, (6) a

method for identifying a cell
which includes a retroviral vector comprising: (a) introducing into

which includes a retroviral vector, comprising:, (a) introducing into a mammalian cell

population a retroviral vector comprising a SAS, a TTS, RPS and RIS, and a constitutively

expressed detectable marker gene, the introducing step being carried out under conditions which

allow the vector to integrate into the genomes of the cells; and, (b) identifying the cell

which includes the retroviral vector by detecting expression of the marker gene;, (7) a method

for identifying a mutagenised mammalian gene comprising;, (a) introducing into a mammalian cell